1. Name of Listed Entity: UJJIVAN FINANCIAL SERVICES LIMITED

- 2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
- **3.** Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on : **30-Sep-2016**

5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (I♥)+(V)+ (VI)	Shareholdi ng as a % of total no. (calculated as per SCRR, 1957) (VIII)		class of s	Rights held i securities X)	in each	No. of Shares Underly Outstandin convertible securities (including	percentage of	Numb Locka sha (X)	ed in res	Sh plea othe encun	nber of pares Iged or rwise 1bered XIII)	Number of equity shares held in dematerializ ed form
							As a % of (A+B+C2)	No of V Clas s eg: X	foting Rig Clas s eg:y	hts Tot al	Total as a % of (A+B+ C)	Warrants) (X)	capital) (XI)=(VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)	<u>(XIV)</u>
	Promoter & Promoter Group		0	0	0	0	0	0	0	0	0	0	0		0			0
		52372	118485500	0	0	118485500	100	118485500	0	118485500	100	0	100	70867208	59.81			113031860
	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	52372	118485500	0	0	118485500	100	118485500	0	118485500	100	0	100	70867208	59.81			113031860

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Number	r of Voting	Rights h	held in	No. of	Shareholdin	Numb	er of	Nun	iber of	Number of
	of the	<i>(II)</i>	shareh	fully	paid-up	shares	nos.	ding %	eau	ch class of s	securitie	s	Shares	g, as a %	Lock	ed in	Sh	ares	equity
	Shareholders		older	paid	equity	underlying	shares	calculate		(L	X)		Underlying	assuming	sha	res	pled	ged or	shares held
	(1)		(111)	ир	shares	Depository	held	d as per	1				Outstanding	full	(X	II)	othe	erwise	in
				equity	held	Receipts	(VII =	SCRR,					convertible	conversion	, i	,	encun	nbered	dematerializ
				share	(V)	(VI)	IV+V+VI)	1957					securities	of			C	XIII)	ed form
				s held			,		No of V	oting Righ	ts	Total as	(including	convertible	No.	As a	No.	As a	(XIV)
				(IV)				As a % of				a % of	Warrants)	securities ((a)	% of	<i>(a)</i>	% of	
								(A+B+C2)	1			Total	(X)	as a	1.2	total	1.2	total	
								(VIII)	Class	Class	Tot	Voting		percentage		Shar		share	
									Class	Class Y		rights		of diluted		es		s held	
									X	Y	al			share		held		<i>(b)</i>	
														capital)		<i>(b)</i>		(-)	
														(XI) =		(-)			
														(VII)+(X) as a					
														% of					
														A+B+C2					
														-					
	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Individuals/Hindu		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	undivided Family																		
b	Central Government/		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	State Government(s)																		
c l	Financial Institutions/		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Banks																		
d	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Sub-Total (A)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals (Non-		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Resident Individuals/											1							
	Foreign Individuals)																		
	Government		0	0	0	0	0	0	0	0	0	0	0	0		0		l	0
	Institutions		0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Foreign Portfolio		0	0	0	0	0	0	0	0	0	0	0	0	l I	0			0
	Investor											1							
	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Promoter and Promoter											1							
	Group (A)=											1							
												1							
	(A)(1)+(A)(2)																		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957		class of	ng Rights he of securities (IX)	_	No. of Shares Underlyin g Outstandin g	Total shareholdi ng, as a % assuming full	Numb Locke shares	ed in	SI plea oth encu (2	nber of hares lged or erwise umbered XIII)	Number of equity shares held in dematerializ ed form (XIV)
				s held (IV)				As a % of (A+B+C2) VIII	No of Voting Rights			Total as a % of Total	convertible securities (including Warrants)	conversion of convertibl	No. (a)	As a % of total	No. (No t ap	As a % of total	
									Class X	Class Y	Tot al	Voting rights	(X)	e securities (as a percentage of diluted share		Shar es held (b)	pli ca ble) (a)	share s held (Not applic able) (b)	
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		38	7654602	0	0	7654602	6.46	7654602	0	7654602	6.46	0	6.46	2361400	30.85			7654602
	"SUNDARAM MUTUAL FUND A/C			2866979	0	0	2866979	2.42	2866979	0	2866979	2.42	0	2.42	2361400	82.37			2866979
	FUND	AAATT05 70A		1216730	0	0	1216730	1.03	1216730	0	1216730	1.03	0	1.03	0	0			1216730
	UTI - TOP 100 FUND	AAATU1 088L	0	2233136	0	0	2233136	1.88	2233136	0	2233136	1.88	0	1.88	0	0			2233136
b	Venture Capital Funds Alternate Investment		0	0 326785	0	0	0 326785	0	0 326785	0	0	28	0	0	0 270585	0 82.8			0 326785
с 1	Funds		4	520785	0	0	320785	.28	520/85	0	326785	.28	0	.28	270585	82.8			520785
a	Foreign Venture Capital Investors		23	0	0	0	0	0	0	0	012(0(7	0	0	0	0	0			0
e	Foreign Portfolio Investors			9126867	0	0	9126867	7.7	9126867	0	9126867	7.7	0	7.7	0	0			9126867
		AAACO9 830F		3076820	0	0	3076820	2.6	3076820	0	3076820	2.6	0	2.6	0	0			3076820
	KEMNAY INVESTMENT FUND LTD	AAECK2 376C	0	1546025	0	0	1546025	1.3	1546025	0	1546025	1.3	0	1.3	0	0			1546025
f	Financial Institutions/ Banks		3	82175	0	0	82175	.07	82175	0	82175	.07	0	.07	0	0			82175
g	Insurance Companies		11	11552791	0	0	11552791	9.75	11552791	0	11552791		0	9.75	7967413	68.97			11552791
	"HDFC STANDARD LIFE INSURANCE COMPANY	AAACH8 755L	0	3526048	0	0	3526048	2.98	3526048	0	3526048	2.98	0	2.98	3464878	98.27			3526048
	BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD.	AADCA1 701E	0	1769804	0	0	1769804	1.49	1769804	0	1769804	1.49	0	1.49	1769804	100			1769804
	BIRLA SUN LIFE INSURANCE COMPANY LIMITED	AABCB4 623J	0	2223947	0	0	2223947	1.88	2223947	0	2223947	1.88	0	1.88	0	0			2223947
		AACCM3 201E	0	1280661	0	0	1280661	1.08	1280661	0	1280661	1.08	0	1.08	0	0			1280661
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	AAJCS10 33P	0	2001024	0	0	2001024	1.69	2001024	0	2001024	1.69	0	1.69	2001024	100			2001024
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		12	49617789	0	0	49617789	41.88	49617789	0	49617789	41.88	0	41.88		91.44			45840172
	ELEVAR EQUITY MAURITIUS		1	2860058	0	0	2860058	2.41	2860058	0	2860058	2.41	0	2.41	2860058	100			2860058
	ALENA PRIVATE LIMITED		1	10790943	0	0	10790943	9.11	10790943	0	10790943	9.11	0	9.11	10790943	100			7013326

CDC GROUP PLC		1	10932696	0	0	10932696	9.23	10932696 0	10932	696 9.2	23	0	9.23	10932696	100	10932696
INDIA FINANCIAL INCLUSION FUND		1	1754386	0	0	1754386	1.48	1754386 0	17543	86 1.4	48	0	1.48	1754386	100	1754386
CX PARTNERS FUND 1 LIMITED		1	2604342	0	0	2604342	2.2	2604342 0	26043	42 2.2	2	0	2.2	2604342	100	2604342
NEWQUEST ASIA INVESTMENTS II LIMITED		1	8199522	0	0	8199522	6.92	8199522 0	81995	22 6.9	92	0	6.92	8199522	100	8199522
SEQUOIA CAPITAL INDIA INVESTMENTS III		1	4201276	0	0	4201276	3.55	4201276 0	42012	76 3.5	55	0	3.55	4201276	100	4201276
INTERNATIONAL FINANCE CORPORATION		1	7141684	0	0	7141684	6.03	7141684 0	71416	84 6.0	03	0	6.03	7141684	100	7141684
Sub-Total (B)(1)		91	78361009	0	0	78361009	66.14	78361009 0	78361	009 66	5.14	0	66.14	55970901	71.43	74583392
Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0 0	0	0		0	0	0	0	0
Sub-Total (B)(2)		0	0	0	0	0	0	0 0	0	0		0	0	0	0	0
Non-institutions		0	0	0	0	0	0	0 0	0	0		0	0	0	0 0	0 0
Individuals -		50507	20384599	0	0	20384599	17.21	20384599 0		599 17		0	17.21	1972673	9.68 0	0 19408676
Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		50373	13431572	0	0	13431572	11.34	13431572 0	13431	572 11	.34	0	11.34	229706	1.71	13350649
Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		134	6953027	0	0	6953027	5.87	6953027 0	69530	27 5.8	87	0	5.87	1742967	25.07	6058027
NBFCs registered with RBI		3	11250	0	0	11250	0	11250 0	11250	0		0	0	0	0	11250
Employee Trusts		0	0	0	0	0	0	0 0	0	0		0	0	0	0	0
Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0 0	0	0		0	0	0	0	0
Any Other (specify)		1771	19728642	0	0	19728642	16.65	19728642 0	19728	642 16	5.65	0	16.65	12923634	65.51	19028542
Director or Director's Relatives		2	1004100	0	0	1004100	.85	1004100 0	10041	00 .85	5	0	.85	869340	86.58	1004100
Bodies Corporate		970	15603798	0	0	15603798	13.17	15603798 0	15603	798 13	3.17	0	13.17	10430994	66.85	15603798
Sub-Total (B)(3)		52281	40124491	0	0	40124491	33.86	40124491 0		491 33.		0	33.86	14896307	37.13	38448468
BAJAJ HOLDINGS AND INVESTMENT LTD	AAACB3 370K	0	5124702	0	0	5124702	4.33	5124702 0	51247	02 4.3	33	0	4.33	5124702	100	5124702
NEW GROWTH COMTRADE PRIVATE LIMITED	AAECN3 690G	0	1951220	0	0	1951220	1.65	1951220 0	19512	20 1.6	65	0	1.65	1951220	100	1951220
Foreign Individuals or NRI		402	2273967	0	0	2273967	1.92	2273967 0	22739	67 1.9	92	0	1.92	1623300	71.39	1573867
Trusts		1	3300	0	0	3300	0	3300 0	3300	0		0	0	0	0	3300
Clearing Members		396	843477	0	0	843477	.71	843477 0	84347			0	.71	0	0	843477
	AAACD7	1 0	1650000 1650000	0	0	1650000 1650000	1.39 1.39	1650000 0 1650000	16500 16500		39 39	0	1.39 1.39	1650000 1650000	100 100	1650000 1650000
LIMITED Total Public	361C	52372	118485500	0	0	118485500	100	11848550 0	11848	550 10	00	0	100	70867208	59.81	113031860
Shareholding (B)= (B)(1)+(B)(2)+(B)(3)								0	0							

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Number	r of Voting	Rights h	eld in	No. of	Total	Numb	er of	Num	ber of	Number of
	of the	(11)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class of s	securitie	\$	Shares	shareholdin	Locke	ed in	Sh	ares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(L	X)		Underlying	g, as a %	shar	res	pledg	ged or	shares held
	(1)		(111)	ир	shares	Depository	<u>(VII =</u>	d as per					Outstanding	assuming	(X	II)	othe	rwise	in
				equity	held	Receipts	IV+V+VI)	SCRR,					convertible	full			encun	nbered	dematerializ
				share	(V)	(VI)		1957					securities	conversion			0	XIII)	ed form
				s held				As a % of	No of V	oting Righ	ts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (total	t ap	total	Applicable)
									Class	Class	Tot	Voting		as a		Shar	pli	share	
									X	Y	al	rights		percentage		es	ca	s held	
										-				of diluted		held	ble	(Not	
														share)	applic	
														capital)				able)	
														(XI)					
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Employee Benefit Trust		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	(under SEBI (Share																		
	based Employee																		
	Benefit) Regulations,																		
	2014)																		
	Total Non-Promoter-		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Non Public																		
	Shareholding (C)=																		
	(C)(1)+(C)(2)																		

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in							
demat/unclaimed suspense account, voting rights which are frozen etc.							
No. of shareholders No of share held							

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in								
demat/unclaimed suspense account, voting rights which are frozen etc.								
No. of shareholders No of share held								

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding									
Name of shareholder	Name of shareholderName of PACNo. of shareHolding %								