

1. Name of Listed Entity:Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether the Listed Entity has issued any differential Voting Rights?	No
7	Whether the Listed Entity has issued any Warrants ?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Total as a % of (A+B+C2)	No of Voting Rights				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
									Class eg: X	Class eg:y								Total
A	Promoter & Promoter Group	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0		
B	Public	78655	121019845	0	0	121019845	100.00	121019845	0	121019845	100.00	0	0.00			120747351		
C	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0.00	0	0.00			0		
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0.00	0	0.00			0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
	Total	78655	121019845	0	0	121019845	100.00	121019845	0	121019845	100.00	0	0.00	0	0.00	120747351		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (including Warrants) (X)	Shareholding % as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
							No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)	
							As a % of (A+B+C2) (VIII)	Class X	Class Y									Total
1	Indian	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
a	Individuals/Hindu undivided Family	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
b	Central Government/ State Government(s)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
c	Financial Institutions/ Banks	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
d	Any Other (specify)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
	Sub-Total (A)(1)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
2	Foreign	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
a	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
b	Government	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
c	Institutions	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
d	Foreign Portfolio Investor	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
e	Any Other (specify)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
	Sub-Total (A)(2)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII = IV+V+VI</u>	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) <u>VIII</u>	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting right	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
1	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
a	Mutual Funds/	15	11473253	0	0	11473253	9.48	11473253	0	11473253	9.48	0	0.00				11473253	
	SUNDARAM MUTUAL FUND A/C SUNDARAM MID CAP FUND	1	5288118	0	0	5288118	4.37	5288118	0	5288118	4.37	0	0.00				5288118	
b	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
c	Alternate Investment Funds	11	7480235	0	0	7480235	6.18	7480235	0	7480235	6.18	0	0.00				7480235	
	IIFL SELECT SERIES II	1	1834163	0	0	1834163	1.52	1834163	0	1834163	1.52	0	0.00				1834163	
	IIFL BLENDED FUND- SERIES A	1	1544921	0	0	1544921	1.28	1544921	0	1544921	1.28	0	0.00				1544921	
	IIFL FOCUSED EQUITY STRATEGIES FUND	1	1994559	0	0	1994559	1.65	1994559	0	1994559	1.65	0	0.00				1994559	
	EDELWEISS MULTI STRATEGY INVESTMENT TRUST - EDELWE	1	1331972	0	0	1331972	1.10	1331972	0	1331972	1.10	0	0.00				1331972	
d	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
e	Foreign Portfolio Investors	14	10449035	0	0	10449035	8.63	10449035	0	10449035	8.63	0	0.00				10449035	
	ARDISIA LIMITED	1	5970000	0	0	5970000	4.93	5970000	0	5970000	4.93	0	0.00				5970000	
	DURO ONE INVESTMENTS LIMITED	1	2500000	0	0	2500000	2.07	2500000	0	2500000	2.07	0	0.00				2500000	
f	Financial Institutions/ Banks	3	267569	0	0	267569	0.22	267569	0	267569	0.22	0	0.00				267569	
g	Insurance Companies	6	8476950	0	0	8476950	7.00	8476950	0	8476950	7.00	0	0.00				8476950	
	KOTAK MAHINDRA LIFE INSURANCE COMPANY LTD.	1	2803723	0	0	2803723	2.32	2803723	0	2803723	2.32	0	0.00				2803723	
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	1	2951524	0	0	2951524	2.44	2951524	0	2951524	2.44	0	0.00				2951524	
	ADITYA BIRLA SUN LIFE INSURANCE COMPANY LIMITED	1	2158899	0	0	2158899	1.78	2158899	0	2158899	1.78	0	0.00				2158899	
h	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
i	Any Other (specify)	7	31994964	0	0	31994964	26.44	31994964	0	31994964	26.44	0	0.00				31994964	
	Other	5	31994214	0	0	31994214	26.44	31994214	0	31994214	26.44	0	0.00				31994214	
	Sub-Total (B)(1)	56	70142006	0	0	70142006	57.96	70142006	0	70142006	57.96	0	0.00				70142006	
2	Central Government/	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	

	State Government(s)/ President of India																	
	Sub-Total (B)(2)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00		0
3	Non-institutions	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00		0
a	Individuals -	77079	33576513	0	0	33576513	27.74	33576513	0	33576513	27.74	0	27.74	0	0.00			33354019
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	76905	23504625	0	0	23504625	19.42	23504625	0	23504625	19.42	0	19.42	0	0.00			23482131
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	174	10071888	0	0	10071888	8.32	10071888	0	10071888	8.32	0	8.32	0	0.00			9871888
b	NBFCs registered with RBI	9	9410	0	0	9410	0.01	9410	0	9410	0.01	0	0.01	0	0.00			9410
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)	1511	17291916	0	0	17291916	14.29	17291916	0	17291916	14.29	0	14.29	0	0.00			17241916
	Director or Director's Relatives	2	51000	0	0	51000	0.04	51000	0	51000	0.04	0	0.04	0	0.00			1000
	Clearing Members	113	523598	0	0	523598	0.43	523598	0	523598	0.43	0	0.43	0	0.00			523598
	Trusts	6	261728	0	0	261728	0.22	261728	0	261728	0.22	0	0.22	0	0.00			261728
	Non-Resident Indian (NRI)	458	2123017	0	0	2123017	1.75	2123017	0	2123017	1.75	0	1.75	0	0.00			2123017
	Bodies Corporate	932	14332573	0	0	14332573	11.84	14332573	0	14332573	11.84	0	11.84	0	0.00			14332573
	Sub-Total (B)(3)	78599	50877839	0	0	50877839	42.04	50877839	0	50877839	42.04	0	42.04	0	0.00			50605345
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	78655	121019845	0	0	121019845	100.00	121019845	0	121019845	100.00	0	100.00	0	0.00			120747351
	CX PARTNERS FUND I LIMITED	1	2604342	0	0	2604342	2.15	2604342	0	2604342	2.15	0	2.15	0	0.00			2604342
	NEWQUEST ASIA INVESTMENTS II LIMITED	1	7028022	0	0	7028022	5.81	7028022	0	7028022	5.81	0	5.81	0	0.00			7028022
	SEQUOIA CAPITAL INDIA INVESTMENTS III	1	4201276	0	0	4201276	3.47	4201276	0	4201276	3.47	0	3.47	0	0.00			4201276
	ALENA PRIVATE LIMITED	1	10790943	0	0	10790943	8.92	10790943	0	10790943	8.92	0	8.92	0	0.00			10790943
	INTERNATIONAL FINANCE CORPORATION	1	5356263	0	0	5356263	4.43	5356263	0	5356263	4.43	0	4.43	0	0.00			5356263
	ELEVAR EQUITY MAURITIUS	1	2013368	0	0	2013368	1.66	2013368	0	2013368	1.66	0	1.66	0	0.00			2013368
	BAJAJ HOLDINGS AND INVESTMENT LTD	1	3125407	0	0	3125407	2.58	3125407	0	3125407	2.58	0	2.58	0	0.00			3125407
	DESAI BROTHERS LIMITED	1	1633741	0	0	1633741	1.35	1633741	0	1633741	1.35	0	1.35	0	0.00			1633741
	BIZ SECURE LABS PRIVATE LIMITED	1	1373898	0	0	1373898	1.14	1373898	0	1373898	1.14	0	1.14	0	0.00			1373898

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	No. of shareh older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (including Warrants) (X)	Total shareholdin g, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV) (Not Applicable)	
							No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
							Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0		0	0	0	0.00	0		0	0.00			0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0		0	0	0	0.00	0		0	0.00			0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %