

1. **Name of Listed Entity: Ujjivan Financial Services Limited**
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Jun-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether the Listed Entity has issued any differential Voting Rights?	No
7	Whether the Listed Entity has issued any Warrants ?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class seg: X	Class seg: y	Total								
								As a % of (A+B+C2)										
A	Promoter & Promoter Group	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0		
B	Public	73530	120954793	0	0	120954793	100.00	120954793	0	120954793	100.00	0	0.00	0	0.00	120619810		
C	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0		
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0		
	Total	73530	120954793	0	0	120954793	100.00	120954793	0	120954793	100.00	0	0.00	0	0.00	120619810		

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full Conversion of Convertible securities (as a Percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								As a % of (A+B+C2) (VIII)	Class X	Class Y								
														(XI) = (VII)+(X) as a % of A+B+C2				
1	Indian	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
b	Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
c	Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
d	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
	Sub-Total (A)(1)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
2	Foreign	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
b	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
c	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
d	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
e	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total share s held (Not applicable) (b)
								Class X	Class Y	Total								
1	Institutions	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
a	Mutual Funds/	16	11511295	0	0	11511295	9.52	11511295	0	11511295	9.52	0	0.00				11511295	
	SUNDARAM MUTUAL FUND A/C SUNDARAM SELECT MIDCAP	1	5857078	0	0	5857078	4.84	5857078	0	5857078	4.84	0	0.00				5857078	
b	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
c	Alternate Investment Funds	9	5584480	0	0	5584480	4.62	5584480	0	5584480	4.62	0	0.00				5584480	
	IIFL BLENDED FUND-SERIES A	1	1217443			1217443	1.01	1217443		1217443	1.01	0	0.00				1217443	
	VISTRA ITCL INDIA LIMITED - EDELWEISS MULTI STRATE	1	1388826			1388826	1.15	1388826		1388826	1.15	0	0.00				1388826	
	IIFL FOCUSED EQUITY STRATEGIES FUND	1	2047059	0	0	2047059	1.69	2047059	0	2047059	1.69	0	0.00				2047059	
d	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
e	Foreign Portfolio Investors	12	10684628	0	0	10684628	8.83	10684628	0	10684628	8.83	0	0.00				10684628	
	MOUSSEGANESH LIMITED	1	2500000	0	0	2500000	2.07	2500000	0	2500000	2.07	0	0.00				2500000	
	ARDISIA LIMITED	1	5970000	0	0	5970000	4.94	5970000	0	5970000	4.94	0	0.00				5970000	
f	Financial Institutions/ Banks	4	270109	0	0	270109	0.22	270109	0	270109	0.22	0	0.00				270109	
g	Insurance Companies	6	8273391	0	0	8273391	6.84	8273391	0	8273391	6.84	0	0.00				8273391	
	KOTAK MAHINDRA LIFE INSURANCE COMPANY LTD.	1	2770164	0	0	2770164	2.29	2770164	0	2770164	2.29	0	0.00				2770164	
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	1	2781524	0	0	2781524	2.30	2781524	0	2781524	2.30	0	0.00				2781524	
	ADITYA BIRLA SUN LIFE INSURANCE COMPANY LIMITED	1	2158899	0	0	2158899	1.78	2158899	0	2158899	1.78	0	0.00				2158899	
h	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
i	Any Other (specify)	7	33780135	0	0	33780135	27.93	33780135	0	33780135	27.93	0	0.00				33780135	
	Other	7	33780135	0	0	33780135	27.93	33780135	0	33780135	27.93	0	0.00				33779635	
	Sub-Total (B)(1)	54	70104038	0	0	70104038	57.96	70104038	0	70104038	57.96	0	0.00				70104038	
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
3	Non-institutions	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
a	Individuals -	72090	33720316	0	0	33720316	27.88	33720316	0	33720316	27.88	0	0.00	0	0.00	0	0.00	33385333
i	Individual shareholders holding nominal share	71913	22433505	0	0	22433505	18.55	22433505	0	22433505	18.55	0	0.00				22408522	

	capital up to Rs. 2 lakhs.																		
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	177	11286811	0	0	11286811	9.33	11286811	0	11286811	9.33	0	9.33	0	0.00				10976811
b	NBFCs registered with RBI	11	19524	0	0	19524	0.02	19524	0	19524	0.02	0	0.02	0	0.00				19524
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
e	Any Other (specify)	1375	17110915	0	0	17110915	14.15	17110915	0	17110915	14.15	0	14.15	0	0.00				17110915
	Director or Director's Relatives	2	40189	0	0	40189	0.03	40189	0	40189	0.03	0	0.03	0	0.00				40189
	Non-Resident Indian (NRI)	375	2018589	0	0	2018589	1.67	2018589	0	2018589	1.67	0	1.67	0	0.00				2018589
	Bodies Corporate	868	14210047	0	0	14210047	11.75	14210047	0	14210047	11.75	0	11.75	0	0.00				14210047
	Trusts	8	221101	0	0	221101	0.18	221101	0	221101	0.18	0	0.18	0	0.00				221101
	Clearing member	122	620989	0	0	620989	0.51	620989	0	620989	0.51	0	0.51	0	0.00				620989
	Sub-Total (B)(3)	73476	50850755	0	0	50850755	42.05	50850755	0	50850755	42.05	0	42.05	0	0.00				50515772
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	73530	120954793	0	0	120954793	100.00	120954793	0	120954793	100.00	0	100.00	0	0.00				120619810
	ELEVAR EQUITY MAURITIUS	1	2013368	0	0	2013368	1.66	2013368	0	2013368	1.66	0	1.66	0	0.00				2013368
	ALENA PRIVATE LIMITED	1	10790943	0	0	10790943	8.92	10790943	0	10790943	8.92	0	8.92	0	0.00				10790943
	CX PARTNERS FUND 1 LIMITED	1	2604342	0	0	2604342	2.15	2604342	0	2604342	2.15	0	2.15	0	0.00				2604342
	NEWQUEST ASIA INVESTMENTS II LIMITED	1	7028022	0	0	7028022	5.81	7028022	0	7028022	5.81	0	5.81	0	0.00				7028022
	SEQUOIA CAPITAL INDIA INVESTMENTS III	1	4201276	0	0	4201276	3.47	4201276	0	4201276	3.47	0	3.47	0	0.00				4201276
	INTERNATIONAL FINANCE CORPORATION	1	7141684	0	0	7141684	5.90	7141684	0	7141684	5.90	0	5.90	0	0.00				7141684
	BAJAJ HOLDINGS AND INVESTMENT LTD	1	3125407	0	0	3125407	2.58	3125407	0	3125407	2.58	0	2.58	0	0.00				3125407
	DESAI BROTHERS LIMITED	1	1633741	0	0	1633741	1.35	1633741	0	1633741	1.35	0	1.35	0	0.00				1633741
	BIZ SECURE LABS PRIVATE LIMITED	1	1336216	0	0	1336216	1.10	1336216	0	1336216	1.10	0	1.10	0	0.00				1336216

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the Shareholders (I)	PAN (II)	No. of share older (III)	No. of fully paid up equity share held (IV)	Partly paid-up equity shares (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %