

1. Name of Listed Entity:Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up shares held (V)	No. of shares under Deposit Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)*	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class Y	Total								
A	Promoter & Promoter Group	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0			
B	Public	80942	121121875	0	0	121121875	100.00	121121875	0	121121875	100.00	0	100.00	0	0.00	120900245		
C	Non Promoter- Non Public	0	0	0	0	0		0	0	0.00	0	0	0.00			0		
C1	Shares underlying DRs	0	0	0	0	0		0	0	0.00	0	0	0.00			0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0	0.00			0		
	Total	80942	121121875	0	0	121121875	100.00	121121875	0	121121875	100.00	0	100.00	0	0.00	120900245		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	No. of share older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = IV+V+VI	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							No of Voting Rights Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
1	Indian	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals/Hindu undivided Family	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b	Central Government/ State Government(s)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Financial Institutions/ Banks	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Any Other (specify)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(1)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
2	Foreign	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b	Government	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Institutions	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Foreign Portfolio Investor	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(2)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	Nos. of share older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = IV+V+VI	Shareholding % calculate as per SCRR, 1957 As a %	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							No of Voting Rights	Total as a % of Total			No. (a)	As a % of total	No. (a)	As a % of total	

							<i>of (A+B+C 2) VIII</i>	<i>Class X</i>	<i>C l a s s</i>	<i>Tot al</i>	<i>Voting rights</i>		<i>of diluted share capital) (XI)</i>		<i>Shar es held (b)</i>	<i>pli ca ble ) (a)</i>	<i>share s held (Not applic able) (b)</i>	
l	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Mutual Funds/	12	13123611	0	0	13123611	10.84	13123611	0	13123611	10.84	0	10.84	0	0.00			13123611
	FRANKLIN TEMPLETON MUTUAL FUND A/C FRANKLIN INDIA FOCUSED EQUITY FUND	1	3704493	0	0	3704493	3.06	3704493	0	3704493	3.06	0	3.06	0	0.00			3704493
	SUNDARAM MUTUAL FUND A/C SUNDARAM SELECT MICRO CAPSERIES XIV	1	5614073	0	0	5614073	4.64	5614073	0	5614073	4.64	0	4.64	0	0.00			5614073
	ICICI PRUDENTIAL S&P BSE 500 ETF	1	1378154	0	0	1378154	1.14	1378154	0	1378154	1.14	0	1.14	0	0.00			1378154
b	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds	9	7764834	0	0	7764834	6.41	7764834	0	7764834	6.41	0	6.41	0	0.00			7764834
	IIFL FOCUSED EQUITY STRATEGIES FUND	1	2707059	0	0	2707059	2.23	2707059	0	2707059	2.23	0	2.23	0	0.00			2707059
	IIFL SELECT SERIES II	1	2267163	0	0	2267163	1.87	2267163	0	2267163	1.87	0	1.87	0	0.00			2267163
	IIFL BLENDED FUND- SERIES A	1	2001906	0	0	2001906	1.65	2001906	0	2001906	1.65	0	1.65	0	0.00			2001906
d	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors	12	10618159	0	0	10618159	8.77	10618159	0	10618159	8.77	0	8.77	0	0.00			10618159
	DURO ONE INVESTMENTS LIMITED	1	3500000	0	0	3500000	2.89	3500000	0	3500000	2.89	0	2.89	0	0.00			3500000
	ARDISIA LIMITED	1	6050000	0	0	6050000	4.99	6050000	0	6050000	4.99	0	4.99	0	0.00			6050000
f	Financial Institutions/ Banks	2	129415	0	0	129415	0.11	129415	0	129415	0.11	0	0.11	0	0.00			129415
g	Insurance Companies	4	4430750	0	0	4430750	3.66	4430750	0	4430750	3.66	0	3.66	0	0.00			4430750
	ADITYA BIRLA SUN LIFE INSURANCE COMPANY LIMITED	1	1269226	0	0	1269226	1.05	1269226	0	1269226	1.05	0	1.05	0	0.00			1269226
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	1	2951524	0	0	2951524	2.44	2951524	0	2951524	2.44	0	2.44	0	0.00			2951524
h	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)	6	31994214	0	0	31994214	26.41	31994214	0	31994214	26.41	0	26.41	0	0.00			31994214
	Other	6	31994214	0	0	31994214	26.41	31994214	0	31994214	26.41	0	26.41	0	0.00			31994214
	CX PARTNERS FUND I LIMITED	1	2604342	0	0	2604342	2.15	2604342	0	2604342	2.15	0	2.15	0	0.00			2604342
	NEWQUEST ASIA INVESTMENTS II LIMITED	1	7028022	0	0	7028022	5.80	7028022	0	7028022	5.80	0	5.80	0	0.00			7028022
	SEQUOIA CAPITAL INDIA INVESTMENTS III	1	4201276	0	0	4201276	3.47	4201276	0	4201276	3.47	0	3.47	0	0.00			4201276
	ALENA PRIVATE LIMITED	1	10790943	0	0	10790943	8.91	10790943	0	10790943	8.91	0	8.91	0	0.00			10790943
	INTERNATIONAL	1	5356263	0	0	5356263	4.42	5356263	0	5356263	4.42	0	4.42	0	0.00			5356263

	FINANCE CORPORATION																		
	ELEVAR EQUITY MAURITIUS	1	2013368	0	0	2013368	1.66	2013368	0	2013368	1.66	0	1.66	0	0.00				2013368
	Sub-Total (B)(1)	45	68060983	0	0	68060983	56.19	68060983	0	68060983	56.19	0	56.19	0	0.00				68060983
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
3	Non-institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
a	Individuals -	79271	35287836	0	0	35287836	29.14	35287836	0	35287836	29.14	0	29.14	0	0.00				35066206
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	79092	24440539	0	0	24440539	20.18	24440539	0	24440539	20.18	0	20.18	0	0.00				24418909
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	179	10847297	0	0	10847297	8.96	10847297	0	10847297	8.96	0	8.96	0	0.00				10647297
b	NBFCs registered with RBI	10	9095	0	0	9095	0.01	9095	0	9095	0.01	0	0.01	0	0.00				9095
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
e	Any Other (specify)	1616	17763961	0	0	17763961	14.67	17763961	0	17763961	14.67	0	14.67	0	0.00				17763961
	Bodies Corporate	928	14681239	0	0	14681239	12.12	14681239	0	14681239	12.12	0	12.12	0	0.00				14681239
	BAJAJ HOLDINGS AND INVESTMENT LTD	1	3125407	0	0	3125407	2.58	3125407	0	3125407	2.58	0	2.58	0	0.00				3125407
	DESAI BROTHERS LIMITED	1	1633741	0	0	1633741	1.35	1633741	0	1633741	1.35	0	1.35	0	0.00				1633741
	Clearing Members	163	444975	0	0	444975	0.37	444975	0	444975	0.37	0	0.37	0	0.00				444975
	Director or Director's Relatives	2	51000	0	0	51000	0.04	51000	0	51000	0.04	0	0.04	0	0.00				51000
	Foreign Nationals	1	750	0	0	750	0.00	750	0	750	0.00	0	0.00	0	0.00				750
	Non-Resident Indian (NRI)	517	2308569	0	0	2308569	1.91	2308569	0	2308569	1.91	0	1.91	0	0.00				2308569
	Trusts	5	277428	0	0	277428	0.23	277428	0	277428	0.23	0	0.23	0	0.00				277428
	Sub-Total (B)(3)	80897	53060892	0	0	53060892	43.81	53060892	0	53060892	43.81	0	43.81	0	0.00				52839262
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	80942	121121875	0	0	121121875	100.00	121121875	0	121121875	100.00	0	100.00	0	0.00				120900245

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (including Warrants) (X)	Total shareholdin g, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV) (Not Applicable)
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %