

1. Name of Listed Entity:Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Jun-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Warrants (X)	No. of Shares Underlying convertible securities (including diluted share) (XI) = (VII)+(X)	Shareholding, as a % assuming full conversion securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights						Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total									
								As a % of (A+B+C2)											
A	Promoter & Promoter Group	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0			
B	Public	79041	121362831	0	0	121362831	100.00	121362831	0	121362831	100.00	0	100.00	0	0.00		121294277		
C	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0.00	0		0	0.00		0		
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0.00	0		0	0.00		0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0		
	Total	79041	121362831	0	0	121362831	100.00	121362831	0	121362831	100.00	0	100.00	0	0.00		121294277		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	No. of shares older	No. of fully paid up equity shares held (III)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts held (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding % as assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
	(I)	(II)	(III)	(IV)	(V)	(VI)	As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	Total as a % of Total Voting rights (VII)+(X) as a % of A+B+C2	(a)	As a % of total Shares held (b)	(a)	As a % of total shares held (b)	(XIV)
1 Indian	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
Sub-Total (A)(1)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
2 Foreign	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g. as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)	
							No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total share s held (Not applicable) (b)
							Class X	Class Y	Tot al								
1 Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
a Mutual Funds/	7	10170708	0	0	10170708	8.38	10170708	0	10170708	8.38	0	0.00			10170708		
SUNDARAM MUTUAL FUND A/C SUNDARAM MID CAP FUND	1	5062955	0	0	5062955	4.17	5062955	0	5062955	4.17	0	0.00			5062955		
FRANKLIN TEMPLETON MUTUAL FUND A/C FRANKLIN INDIA FOCUSED EQUITY FUND	1	3000000	0	0	3000000	2.47	3000000	0	3000000	2.47	0	0.00			3000000		
b Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
c Alternate Investment Funds	11	7925334	0	0	7925334	6.53	7925334	0	7925334	6.53	0	0.00			7925334		
IIFL SELECT SERIES II	1	2267163	0	0	2267163	1.87	2267163	0	2267163	1.87	0	0.00			2267163		
IIFL FOCUSED EQUITY STRATEGIES FUND	1	2707059	0	0	2707059	2.23	2707059	0	2707059	2.23	0	0.00			2707059		
IIFL BLENDED FUND-SERIES A	1	2001906	0	0	2001906	1.65	2001906	0	2001906	1.65	0	0.00			2001906		
d Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
e Foreign Portfolio Investors	29	17107539	0	0	17107539	14.10	17107539	0	17107539	14.10	0	0.00			17107539		
DURO ONE INVESTMENTS LIMITED	1	3500000	0	0	3500000	2.88	3500000	0	3500000	2.88	0	0.00			3500000		
ARDISIA LIMITED	1	12065500	0	0	12065500	9.94	12065500	0	12065500	9.94	0	0.00			12065500		
f Financial Institutions/ Banks	3	491503	0	0	491503	0.40	491503	0	491503	0.40	0	0.00			491503		
g Insurance Companies	5	5390750	0	0	5390750	4.44	5390750	0	5390750	4.44	0	0.00			5390750		
ADITYA BIRLA SUN LIFE INSURANCE COMPANY LIMITED	1	1269226	0	0	1269226	1.05	1269226	0	1269226	1.05	0	0.00			1269226		
SHRIRAM LIFE INSURANCE COMPANY LIMITED	1	2951524	0	0	2951524	2.43	2951524	0	2951524	2.43	0	0.00			2951524		
h Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
i Any Other (specify)	5	27792938	0	0	27792938	22.90	27792938	0	27792938	22.90	0	0.00			27792938		
Other	5	27792938	0	0	27792938	22.90	27792938	0	27792938	22.90	0	0.00			27792938		
CX PARTNERS FUND I LIMITED	1	2604342	0	0	2604342	2.15	2604342	0	2604342	2.15	0	0.00			2604342		
NEWQUEST ASIA INVESTMENTS II LIMITED	1	7028022	0	0	7028022	5.79	7028022	0	7028022	5.79	0	0.00			7028022		
ALENA PRIVATE LIMITED	1	10790943	0	0	10790943	8.89	10790943	0	10790943	8.89	0	0.00			10790943		
INTERNATIONAL FINANCE CORPORATION	1	5356263	0	0	5356263	4.41	5356263	0	5356263	4.41	0	0.00			5356263		
ELEVAR EQUITY MAURITIUS	1	2013368	0	0	2013368	1.66	2013368	0	2013368	1.66	0	0.00			2013368		
Sub-Total (B)(1)	60	68878772	0	0	68878772	56.75	68878772	0	68878772	56.75	0	0.00			68878772		
2 Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
3 Non-institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		

a	Individuals -	77175	34887993	0	0	34887993	28.75	34887993	0	34887993	28.75	0	28.75	0	0.00		34819439
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	77018	24873847	0	0	24873847	20.50	24873847	0	24873847	20.50	0	20.50	0	0.00		24855293
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	157	10014146	0	0	10014146	8.25	10014146	0	10014146	8.25	0	8.25	0	0.00		9964146
b	NBFCs registered with RBI	6	14200	0	0	14200	0.01	14200	0	14200	0.01	0	0.01	0	0.00		14200
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)	1800	17581866	0	0	17581866	14.49	17581866	0	17581866	14.49	0	14.49	0	0.00		17581866
	Bodies Corporate	915	14299014	0	0	14299014	11.78	14299014	0	14299014	11.78	0	11.78	0	0.00		14299014
	BAJAJ HOLDINGS AND INVESTMENT LTD	1	2682074	0	0	2682074	2.21	2682074	0	2682074	2.21	0	2.21	0	0.00		2682074
	DESAI BROTHERS LIMITED	1	1633741	0	0	1633741	1.35	1633741	0	1633741	1.35	0	1.35	0	0.00		1633741
	Clearing Members	171	638323	0	0	638323	0.53	638323	0	638323	0.53	0	0.53	0	0.00		638323
	Director or Director's Relatives	2	51000	0	0	51000	0.04	51000	0	51000	0.04	0	0.04	0	0.00		51000
	Foreign Nationals	1	750	0	0	750	0.00	750	0	750	0.00	0	0.00	0	0.00		750
	Non-Resident Indian (NRI)	705	2588916	0	0	2588916	2.13	2588916	0	2588916	2.13	0	2.13	0	0.00		2588916
	Trusts	6	3863	0	0	3863	0.00	3863	0	3863	0.00	0	0.00	0	0.00		3863
	Sub-Total (B)(3)	78981	52484059	0	0	52484059	43.25	52484059	0	52484059	43.25	0	43.25	0	0.00		52415505
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	79041	121362831	0	0	121362831	100.00	121362831	0	121362831	100.00	0	100.00	0	0.00		121294277

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	No. of shares older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII= IV+V+VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total Shares held, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
1 Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0	
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0	
Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0	

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
			Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %