

1. Name of Listed Entity:Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Jun-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y								
A	Promoter & Promoter Group	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0	
B	Public	87745	119643598	0	0	119643598	100.00	119643598	0	119643598	100.00	0	100.00	580	0.00		115264659
C	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0
	Total	87745	119643598	0	0	119643598	100.00	119643598	0	119643598	100.00	0	100.00	580	0.00		115264659

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
							Class X	Class Y	Total								
1 Indian	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
a Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
b Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
c Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
d Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
Sub-Total (A)(1)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
2 Foreign	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
a Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
b Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
c Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
d Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
e Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
							Class X	Class Y	Total								
1 Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
a Mutual Funds/	24	4887620	0	0	4887620	4.09	4887620	0	4887620	4.09	0	4.09	0	0.00	0	0	4887620
SUNDARAM MUTUAL FUND A/C SUNDARAM SELECT MIDCAP	0	2695845	0	0	2695845	2.25	2695845	0	2695845	2.25	0	2.25	0	0.00	0	0	2695845
b Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
c Alternate Investment Funds	5	1418284	0	0	1418284	1.19	1418284	0	1418284	1.19	0	1.19	0	0.00	0	0	1418284

d	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
e	Foreign Portfolio Investors	17	8923792	0	0	8923792	7.46	8923792	0	8923792	7.46	0	7.46	0	0.00	0	8923792
f	Financial Institutions/ Banks	3	273633	0	0	273633	0.23	273633	0	273633	0.23	0	0.23	0	0.00	0	273633
g	Insurance Companies	8	8275755	0	0	8275755	6.92	8275755	0	8275755	6.92	0	6.92	0	0.00	0	8275755
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	0	2951524	0	0	2951524	2.47	2951524	0	2951524	2.47	0	2.47	0	0.00	0	2951524
	HDFC STANDARD LIFE INSURANCE COMPANY LIMITED	0	2778052	0	0	2778052	2.32	2778052	0	2778052	2.32	0	2.32	0	0.00	0	2778052
h	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
i	Any Other (specify)	10	43915291	0	0	43915291	36.71	43915291	0	43915291	36.71	0	36.71	0	0.00	0	40137674
	Other	7	42808971	0	0	42808971	35.78	42808971	0	42808971	35.78	0	35.78	0	0.00	0	39031354
	ELEVAR EQUITY MAURITIUS	0	2860058	0	0	2860058	2.39	2860058	0	2860058	2.39	0	2.39	0	0.00	0	2860058
	ALENA PRIVATE LIMITED	0	10790943	0	0	10790943	9.02	10790943	0	10790943	9.02	0	9.02	0	0.00	0	7013326
	CDC GROUP PLC	0	8182646	0	0	8182646	6.84	8182646	0	8182646	6.84	0	6.84	0	0.00	0	8182646
	CX PARTNERS FUND I LIMITED	0	2604342	0	0	2604342	2.18	2604342	0	2604342	2.18	0	2.18	0	0.00	0	2604342
	NEWQUEST ASIA INVESTMENTS II LIMITED	0	7028022	0	0	7028022	5.87	7028022	0	7028022	5.87	0	5.87	0	0.00	0	7028022
	SEQUOIA CAPITAL INDIA INVESTMENTS III INTERNATIONAL FINANCE CORPORATION	0	4201276	0	0	4201276	3.51	4201276	0	4201276	3.51	0	3.51	0	0.00	0	4201276
	INTERNATIONAL FINANCE CORPORATION	0	7141684	0	0	7141684	5.97	7141684	0	7141684	5.97	0	5.97	0	0.00	0	7141684
	Sub-Total (B)(1)	67	67694375	0	0	67694375	56.60	67694375	0	67694375	56.60	0	56.60	0	0.00	0	63916758
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
3	Non-institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
a	Individuals -	85729	33408363	0	0	33408363	27.93	33408363	0	33408363	27.93	0	27.93	580	0.00	0	32807041
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	85576	23265509	0	0	23265509	19.45	23265509	0	23265509	19.45	0	19.45	580	0.00	0	23234187
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	153	10142854	0	0	10142854	8.48	10142854	0	10142854	8.48	0	8.48	0	0.00	0	9572854
	Samit Ghosh	0	1358100	0	0	1358100	1.14	1358100	0	1358100	1.14	0	1.14	0	0.00	0	1358100
b	NBFCs registered with RBI	10	34703	0	0	34703	0.03	34703	0	34703	0.03	0	0.03	0	0.00	0	34703
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
e	Any Other (specify)	1939	18506157	0	0	18506157	15.47	18506157	0	18506157	15.47	0	15.47	0	0.00	0	18506157
	Other	2	29400	0	0	29400	0.02	29400	0	29400	0.02	0	0.02	0	0.00	0	29400
	Body Corporate	1299	18223879	0	0	18223879	15.23	18223879	0	18223879	15.23	0	15.23	0	0.00	0	18223879
	BAJAJ HOLDINGS AND INVESTMENT LTD	0	5124702	0	0	5124702	4.28	5124702	0	5124702	4.28	0	4.28	0	0.00	0	5124702
	DESAI BROTHERS LIMITED	0	1802000	0	0	1802000	1.51	1802000	0	1802000	1.51	0	1.51	0	0.00	0	1802000
	Non-Resident Indian (NRI)	290	2008724	0	0	2008724	1.68	2008724	0	2008724	1.68	0	1.68	0	0.00	0	2008724
	Trust	6	62349	0	0	62349	0.05	62349	0	62349	0.05	0	0.05	0	0.00	0	62349
	Clearing member	343	959857	0	0	959857	0.80	959857	0	959857	0.80	0	0.80	0	0.00	0	959857
	Sub-Total (B)(3)	87678	51949223	0	0	51949223	43.43	51949223	0	51949223	43.43	0	43.43	580	0.00	0	51347901
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	87745	119643598	0	0	119643598	100.00	119643598	0	119643598	100.00	0	100.00	580	0.00	0	115264659

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII= IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
							No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
							Class X	Class Y	Total								
1 Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %