

1. Name of Listed Entity: Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg: X	Class eg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
B	Public	85523	121567500	0	0	121567500	100	121567500	0	121567500	100	0	100	0	0	0	121502881
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	85523	121567500	0	0	121567500	100	121567500	0	121567500	100	0	100	0	0	0	121502881



Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of shareholder (III)	No. of fully paid up shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
1	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/	11	3994964	0	0	3994964	3.29	3994964	0	3994964	3.29	0	0	0	0	0	3994964	
	SUNDARAM MUTUAL FUND A/C SUNDARAM MID CAP FUND	1	1606032	0	0	1606032	1.32	1606032	0	1606032	1.32	0	0	0	0	0	1606032	
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Alternate Investment Funds	12	6116399	0	0	6116399	5.03	6116399	0	6116399	5.03	0	0	0	0	0	6116399	
	IIFL SELECT SERIES II	1	1587014	0	0	1587014	1.31	1587014	0	1587014	1.31	0	0	0	0	0	1587014	
	IIFL FOCUSED EQUITY STRATEGIES FUND	1	2036923	0	0	2036923	1.68	2036923	0	2036923	1.68	0	0	0	0	0	2036923	
	IIFL BLENDED FUND-SERIES A	1	1495673	0	0	1495673	1.23	1495673	0	1495673	1.23	0	0	0	0	0	1495673	
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Foreign Portfolio Investors	45	22943320	0	0	22943320	18.87	22943320	0	22943320	18.87	0	0	0	0	0	22943320	
	ARDISIA LIMITED	1	12065500	0	0	12065500	9.92	12065500	0	12065500	9.92	0	0	0	0	0	12065500	
	DURO ONE INVESTMENTS LIMITED	1	5000000	0	0	5000000	4.11	5000000	0	5000000	4.11	0	0	0	0	0	5000000	
	GOVERNMENT OF SINGAPORE	1	3062457	0	0	3062457	2.52	3062457	0	3062457	2.52	0	0	0	0	0	3062457	
f	Financial Institutions/ Banks	1	195164	0	0	195164	0.16	195164	0	195164	0.16	0	0	0	0	0	195164	
g	Insurance Companies	1	180000	0	0	180000	0.15	180000	0	180000	0.15	0	0	0	0	0	180000	
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
i	Any Other (specify)	5	27792938	0	0	27792938	22.86	27792938	0	27792938	22.86	0	0	0	0	0	27792938	
	Other	5	27792938	0	0	27792938	22.87	27792938	0	27792938	22.87	0	0	0	0	0	27792938	
	CX PARTNERS FUND I LIMITED	1	2604342	0	0	2604342	2.14	2604342	0	2604342	2.14	0	0	0	0	0	2604342	
	NEWQUEST ASIA INVESTMENTS II LIMITED	1	7028022	0	0	7028022	5.78	7028022	0	7028022	5.78	0	0	0	0	0	7028022	
	ALENA PRIVATE LIMITED	1	10790943	0	0	10790943	8.88	10790943	0	10790943	8.88	0	0	0	0	0	10790943	
	INTERNATIONAL FINANCE CORPORATION	1	5356263	0	0	5356263	4.41	5356263	0	5356263	4.41	0	0	0	0	0	5356263	
	ELEVAR EQUITY MAURITIUS	1	2013368	0	0	2013368	1.66	2013368	0	2013368	1.66	0	0	0	0	0	2013368	
	Sub-Total (B)(1)	75	61222785	0	0	61222785	50.36	61222785	0	61222785	50.36	0	0	0	0	0	61222785	
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals -	83698	42140449	0	0	42140449	34.67	42140449	0	42140449	34.67	0	0	0	0	0	42075830	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	83504	29159223	0	0	29159223	23.99	29159223	0	29159223	23.99	0	0	0	0	0	29144604	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	194	12981226	0	0	12981226	10.68	12981226	0	12981226	10.68	0	0	0	0	0	12931226	
	VANAJA SUNDAR IYER	1	1550000	0	0	1550000	1.28	1550000	0	1550000	1.28	0	0	0	0	0	1550000	



Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
<b>No. of shareholders</b>	<b>No of share held</b>

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<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>