

1. Name of Listed Entity: Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2019
5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether the Listed Entity has issued any differential Voting Rights?	No
7	Whether the Listed Entity has issued any Warrants ?	No
8	Whether the listed entity has any significant beneficial owner?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)		
								As a % of (A+B+C2)	No of Voting Rights				Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	
									Class eg: X	Class eg: Y									Total
A	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
B	Public	77850	121470631	0	0	121470631	100	121470631	0	121470631	100	0	0	0	0	0	121406012		
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	Total	77850	121470631	0	0	121470631	100	121470631	0	121470631	100	0	0	0	0	0	121406012		



Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
1	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/	6	4675540	0	0	4675540	3.85	4675540	0	4675540	3.85	0	3.85	0	0		4675540	
	SUNDARAM MUTUAL FUND A/C SUNDARAM MID CAP FUND	1	3295761	0	0	3295761	2.71	3295761	0	3295761	2.71	0	2.71	0	0		3295761	
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
c	Alternate Investment Funds	12	8438181	0	0	8438181	6.95	8438181	0	8438181	6.95	0	6.95	0	0		8438181	
	IIFL SELECT SERIES II	1	2267163	0	0	2267163	1.87	2267163	0	2267163	1.87	0	1.87	0	0		2267163	
	IIFL FOCUSED EQUITY STRATEGIES FUND	1	2666381	0	0	2666381	2.2	2666381	0	2666381	2.2	0	2.2	0	0		2666381	
	IIFL BLENDED FUND-SERIES A	1	2295661	0	0	2295661	1.89	2295661	0	2295661	1.89	0	1.89	0	0		2295661	
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
e	Foreign Portfolio Investors	35	18488466	0	0	18488466	15.22	18488466	0	18488466	15.22	0	15.22	0	0		18488466	
	ARDISIA LIMITED	1	12065500	0	0	12065500	9.93	12065500	0	12065500	9.93	0	9.93	0	0		12065500	
	DURO ONE INVESTMENTS LIMITED	1	4550000	0	0	4550000	3.75	4550000	0	4550000	3.75	0	3.75	0	0		4550000	
f	Financial Institutions/ Banks	3	198089	0	0	198089	0.16	198089	0	198089	0.16	0	0.16	0	0		198089	
g	Insurance Companies	4	4235031	0	0	4235031	3.49	4235031	0	4235031	3.49	0	3.49	0	0		4235031	
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	1	2801476	0	0	2801476	2.31	2801476	0	2801476	2.31	0	2.31	0	0		2801476	
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
i	Any Other (specify)	5	27792938	0	0	27792938	22.88	27792938	0	27792938	22.88	0	22.88	0	0		27792938	
	Other	5	27792938	0	0	27792938	22.88	27792938	0	27792938	22.88	0	22.88	0	0		27792938	
	CX PARTNERS FUND 1 LIMITED	1	2604342	0	0	2604342	2.14	2604342	0	2604342	2.14	0	2.14	0	0		2604342	
	NEWQUEST ASIA INVESTMENTS II LIMITED	1	7028022	0	0	7028022	5.79	7028022	0	7028022	5.79	0	5.79	0	0		7028022	
	ALENA PRIVATE LIMITED	1	10790943	0	0	10790943	8.88	10790943	0	10790943	8.88	0	8.88	0	0		10790943	
	INTERNATIONAL FINANCE CORPORATION	1	5356263	0	0	5356263	4.41	5356263	0	5356263	4.41	0	4.41	0	0		5356263	
	ELEVAR EQUITY MAURITIUS	1	2013368	0	0	2013368	1.66	2013368	0	2013368	1.66	0	1.66	0	0		2013368	
	Sub-Total (B)(1)	65	63828245	0	0	63828245	52.55	63828245	0	63828245	52.55	0	52.55	0	0		63828245	
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
a	Individuals -	76168	39042690	0	0	39042690	32.15	39042690	0	39042690	32.15	0	32.15	0	0		38978071	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	75980	26839146	0	0	26839146	22.1	26839146	0	26839146	22.1	0	22.1	0	0		26824527	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	188	12203544	0	0	12203544	10.05	12203544	0	12203544	10.05	0	10.05	0	0		12153544	
b	NBFCs registered with RBI	3	1451	0	0	1451	0.00	1451	0	1451	0.00	0	0.00	0	0		1451	
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0		0	
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0		0	
e	Any Other (specify)	1614	18598245	0	0	18598245	15.31	18598245	0	18598245	15.31	0	15.31	0	0		18598245	
	Bodies Corporate	773	13631350	0	0	13631350	11.22	13631350	0	13631350	11.22	0	11.22	0	0		13631350	
	Clearing Members	134	2206426	0	0	2206426	1.82	2206426	0	2206426	1.82	0	1.82	0	0		2206426	
	Director or Director's Relatives	2	51000	0	0	51000	0.04	51000	0	51000	0.04	0	0.04	0	0		51000	
	Foreign Nationals	1	1000	0	0	1000	0.00	1000	0	1000	0.00	0	0.00	0	0		1000	
	Non-Resident Indian (NRI)	697	2581657	0	0	2581657	2.13	2581657	0	2581657	2.13	0	2.13	0	0		2581657	

Others	2	122880	0	0	122880	0.1	122880	0	122880	0.1	0	0.1	0	0			122880
Trusts	5	3932	0	0	3932	0	3932	0	3932	0	0	0	0	0			3932
BAJAJ HOLDINGS AND INVESTMENT LTD	1	2682074	0	0	2682074	2.21	2682074	0	2682074	2.21	0	2.21	0	0			2682074
DESAI BROTHERS LIMITED	1	1633741	0	0	1633741	1.34	1633741	0	1633741	1.34	0	1.34	0	0			1633741
BIZ SECURE LABS PRIVATE LIMITED	1	1748189	0	0	1748189	1.44	1748189	0	1748189	1.44	0	1.44	0	0			1748189
NSE CLEARING LIMITED	1	1317958	0	0	1317958	1.09	1317958	0	1317958	1.09	0	1.09	0	0			1317958
Sub-Total (B)(3)	77785	57642386	0	0	57642386	47.45	57642386	0	57642386	47.45	0	47.45	0	0			57577767
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	77850	121470631	0	0	121470631	100	121470631	0	121470631	100	0	100	0	0			121406012

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share s held (III)	No. of Fully paid up Equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise Encumbered (XIII)		Number of Equity shares held in dematerialized form (XIV) (Not Applicable)	
				No. of Voting Rights	Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total share s held (Not applicable)								
					Class X	Class Y								Total					
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %