

UJJIVAN/SE/2019-20/06

April 15, 2019

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended on March 31, 2019

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed Certificate for the half year ended March 31, 2019, duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited


Sanjeev Barnwal
Company Secretary and Compliance Officer





K. Jayachandran
Company Secretary

Off : 080-23478383
Cell : 98864 83991
E-mail : jayck_1960@yahoo.com

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND LISTING REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)

FOR THE PERIOD ENDED 31st March, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN FINANCIAL SERVICES LIMITED** maintained by **Karvy Fintech Private Limited** pertaining to transfer of equity shares of the Company for the period from **1st October, 2018 to 31st March, 2019** for the purpose of issuing a Certificate as per Regulation 40 (9) the Listing Regulations.

I confirm that no valid request received from the concerned investors with regard to Split of Shares / Consolidation of shares, Transfer of shares / Transmission / duplicate Share Certificate during the period **1st October, 2018 to 31st March, 2019**.

Place: Bengaluru
Dated: 15th April, 2019




K. JAYACHANDRAN
CP NO. 4031