

UJJIVAN/SE/2018-19/19

July 9, 2018

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Reconciliation of the Share Capital Audit Report for the Quarter ended June 30, 2018

Pursuant to Regulation 55A(1) of SEBI (Depositories and Participants) Regulations, 1996, please find enclosed the Share Capital Audit Report for the quarter ended June 30, 2018 duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For **Ujjivan Financial Services Limited**


Sanjeev Barnwal
Company Secretary and Compliance Officer



**K. Jayachandran****Company Secretary**

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Cell : 98864 83991

E-mail : jayck_1960@yahoo.com

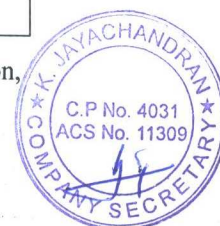
RECONCILIATION OF SHARE CAPITAL AUDIT

Sl. No.	Description	Particulars	
1.	For the Quarter Ended	30th June, 2018	
2.	ISIN Code	INE334L01012	
3.	Face Value	Rs.10/- each	
4.	Name of the Company	UJJIVAN FINANCIAL SERVICES LIMITED	
5.	Registered Office	Grape Garden, No. 27, 3rd A Cross, 18th Main, 6th Block, Koramangala, Bangalore – 560095	
6.	Correspondence Address	Grape Garden, No. 27, 3rd A Cross, 18th Main, 6th Block, Koramangala, Bangalore – 560095	
7.	Telephone & Fax Nos.	Telephone: 91 80 4071 2121 Fax: 91 80 4146 8700	
8.	Email	compliance@ujjivanfin.com	
9.	Name of the Stock Exchanges where the company's securities are listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	
		Number of Shares	% of Total issued Capital
10.	Issued Capital	120,954,793	100.00
11.	Listed Capital (Exchange wise) (as per company records)	120,954,793	100.00
12.	Held in dematerialized form in CDSL	34,833,233	28.80
13.	Held in dematerialized form in NSDL	85,786,577	70.92
14.	Physical	334,983	0.28
15.	Total No. of Shares (12+13+14)	120,954,793	100.00
16.	Reasons for difference if any, between (10 & 11), (10 & 15), (11 & 15)	Not Applicable	

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars* **	No. of Shares	Applied/N ot Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In principle Approval Pending for SE (Specify Names)
ESOP allotment on 17.04.2018	42,238	Applied and listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	Yes	Yes	NA
ESOP allotment on 07.05.2018	23,504	Applied and listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	Yes	Yes	NA
ESOP allotment on 11.06.2018	27,270	Applied and listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	Yes	Yes	NA


*** Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify):



18.	Register of Members is updated (Yes/No) If not, updated up to which date	No Not Applicable		
19.	Reference to previous Quarter with regard to excess Dematerialized Shares, if any	Nil		
20.	Has the Company resolved the matter mentioned in Sl. No. 19 above in the current quarter, if not, reasons why?	Not Applicable		
21.	Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay.			
Total No. of Demat Requests		No. of Requests	No. of Shares	Reasons for Delay
Confirmed after 21 Days		Nil	Nil	Nil
Pending for more than 21 Days		Nil	Nil	Nil
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	Mr. SanjeevBarnwal Company Secretary and Compliance Officer Telephone: 91 80 4071 2121 Fax: 91 80 4146 8700		
23.	Name, Address, Tel & Fax No. Registration No. of the Auditor	K JAYACHANDRAN Company Secretary CP No. 4031 No. 1181, 6 th Main, ‘A’ Block (Next to Cafe Coffee Day),Rajajinagar, Bangalore – 560010 Tel: 91 80 2347 8383; Email: jayck_1960@yahoo.com		
24.	Appointment of common agency for share registry work, If yes, (Name & Address)	Karvy Computershare Private Limited, Karvy Selenium Tower B, Plot number 31 & 32, Financial District Gachibowli, Hyderabad 500 032		
25.	Any other detail that the auditor may like to provide (e.g. BIFR company, delisting from SE, company changed its name etc.	NIL		

Place : Bengaluru
Dated: 9th July, 2018




K JAYACHANDRAN
C P NO. 4031