

UJJIVAN/SE/2018-19/02

April 11, 2018

**National Stock Exchange of India Limited**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East),  
Mumbai 400 051  
Kind Attention: Manager, Listing Department

**BSE Limited**  
P.J. Tower,  
Dalal Street  
Mumbai 400 001  
Kind Attention: Manager, Listing Compliance

**Trading Symbol: UJJIVAN**

**SCRIP CODE: 539874**

Dear Sir/Madam,

**Subject: Reconciliation of the Share Capital Audit Report for the Quarter ended March 31, 2018**

Pursuant to Regulation 55A(1) of SEBI (Depositories and Participants) Regulations, 1996, please find enclosed the Share Capital Audit Report for the quarter ended March 31, 2018 duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For **Ujjivan Financial Services Limited**

  
**Sanjeev Barnwal**  
Company Secretary and Compliance Officer



**RECONCILIATION OF SHARE CAPITAL AUDIT**

Sl. No.	Description	Particulars	
1.	For the Quarter Ended	<b>31<sup>st</sup> March, 2018</b>	
2.	ISIN Code	<b>INE334L01012</b>	
3.	Face Value	Rs.10/- each	
4.	Name of the Company	<b>UJJIVAN FINANCIAL SERVICES LIMITED</b>	
5.	Registered Office	Grape Garden, No. 27, 3rd A Cross, 18th Main, 6th Block, Koramangala, Bangalore – 560095	
6.	Correspondence Address	Grape Garden, No. 27, 3rd A Cross, 18th Main, 6th Block, Koramangala, Bangalore – 560095	
7.	Telephone & Fax Nos.	Telephone: 91 80 4071 2121 Fax: 91 80 4146 8700	
8.	Email	<a href="mailto:compliance@ujjivan.com">compliance@ujjivan.com</a>	
9.	Name of the Stock Exchanges where the company's securities are listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	
		<b>Number of Shares</b>	<b>% of Total issued Capital</b>
10.	Issued Capital	<b>120,861,781</b>	<b>100.00</b>
11.	Listed Capital (Exchange wise) (as per company records)	<b>120,861,781</b>	<b>100.00</b>
12.	Held in dematerialized form in CDSL	35,738,389	29.57
13.	Held in dematerialized form in NSDL	84,788,007	70.15
14.	Physical	335,385	0.28
15.	Total No. of Shares (12+13+14)	<b>120,861,781</b>	<b>100.00</b>
16.	Reasons for difference if any, between (10 & 11), (10 & 15), (11 & 15)	Not Applicable	

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars* **	No. of Shares	Applied/Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In principle Approval Pending for SE (Specify Names)
ESOP allotment on 11.01.2018	95,170	Applied and listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	Yes	Yes	NA
ESOP allotment on 20.02.2018	94,307	Applied and listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	Yes	Yes	NA
ESOP allotment on 15.03.2018	63,956	Applied and listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	Yes	Yes	NA



\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify):

18.	Register of Members is updated (Yes/No) If not, updated up to which date	No Not Applicable
19.	Reference to previous Quarter with regard to excess Dematerialized Shares, if any	Nil
20.	Has the Company resolved the matter mentioned in Sl. No. 19 above in the current quarter, if not, reasons why?	Not Applicable
21.	Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay.	
<b>Total No. of Demat Requests</b>		<b>No. of Requests</b>
		<b>No. of Shares</b>
		<b>Reasons for Delay</b>
Confirmed after 21 Days		Nil
Pending for more than 21 Days		Nil
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	Mr. Sanjeev Barnwal Company Secretary and Compliance Officer Telephone: 91 80 4071 2121 Fax: 91 80 4146 8700
23.	Name, Address, Tel & Fax No. Registration No. of the Auditor	K JAYACHANDRAN Company Secretary CP No. 4031 No. 1181, 6 <sup>th</sup> Main, 'A' Block (Next to Cafe Coffee Day), Rajajinagar, Bangalore – 560010 Tel: 91 80 2347 8383; Email: <a href="mailto:jayck_1960@yahoo.com">jayck_1960@yahoo.com</a>
24.	Appointment of common agency for share registry work, If yes, (Name & Address)	Karvy Computershare Private Limited, Karvy Selenium Tower B, Plot number 31 & 32, Financial District Gachibowli, Hyderabad 500 032
25.	Any other detail that the auditor may like to provide (e.g. BIFR company, delisting from SE, company changed its name etc.	NIL

Place : Bengaluru  
Dated: 11<sup>th</sup> April, 2018



  
K JAYACHANDRAN  
C P NO. 4031