

UJJIVAN/SE/2020-21/4

April 13, 2020

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended March 31, 2020

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Certificate for the half year ended March 31, 2020, duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited



Sanjeev Barnwal
Company Secretary and Compliance Officer

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND LISTING REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)

FOR THE PERIOD ENDED 31st March, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN FINANCIAL SERVICES LIMITED** maintained by **KFin Technologies Private Limited** (Formerly known as *Karvy Fintech Private Ltd*) pertaining to transfer of equity shares of the Company for the period from **1st October, 2019 to 31st March, 2020** for the purpose of issuing a Certificate as per Regulation 40 (9) the Listing Regulations.

I confirm that no valid request received from the concerned investors with regard to Split of Shares / Consolidation of shares, Transfer of shares / Transmission / duplicate Share Certificate during the period **1st October, 2019 to 31st March, 2020**.

Place: Bengaluru

Dated: 13.04.2020

KUNJITHAPADH
AM
JAYACHANDRAN

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KUNJITHAPADHAM
JAYACHANDRAN
Date: 2020.04.13
11:23:24 +05'30'

K. JAYACHANDRAN

CP NO. 4031

UDIN: A011309B000154221