

UJJIVAN/SE/2021-22/4

April 12, 2021

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended March 31, 2021

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Certificate for the half year ended March 31, 2021, duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited

**SANJEEV
BARNWAL**

**Sanjeev Barnwal
Company Secretary**

Digitally signed by SANJEEV BARNWAL
DN: c=IN, o=Personal, title=2055,
pseudonym=433beb4e65408e7ca306ab9eb368615d584fff
5f73bd2d5f9a9a302e70a6c343, postalCode=560102,
st=Karnataka,
serialNumber=165b67a063a15a392d58348ef840b235070a
7bd0b5c655a1f73ad0a1e44bd2e29, cn=SANJEEV BARNWAL
Date: 2021.04.12 12:56:34 +05'30'

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND LISTING REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)

FOR THE PERIOD ENDED 31st MARCH, 2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN FINANCIAL SERVICES LIMITED** maintained by **KFin Technologies Private Limited** (Formerly known as *Karvy Fintech Private Ltd*) pertaining to transfer of equity shares of the Company for the period from **1st October, 2020 to 31st March, 2021** for the purpose of issuing a Certificate as per Regulation 40 (9) the Listing Regulations.

I confirm that no valid request received from the concerned investors with regard to Split of Shares / Consolidation of shares, Transfer of shares / Transmission of shares / duplicate Share Certificate during the period **1st October, 2020 to 31st March, 2021**.

Place: Bengaluru
Dated: 10.04.2021

KUNJITHAPADH
AM
JAYACHANDRAN

Digitally signed by
KUNJITHAPADHAM
JAYACHANDRAN
Date: 2021.04.10 16:50:30
+05'30'

K. JAYACHANDRAN
CP NO. 4031
UDIN: A011309C000051019