

UJJIVAN/SE/2021-22/50

January 12, 2022

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Reconciliation of the Share Capital Audit Report for the Quarter ended December 31, 2021

Pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018, please find enclosed the Share Capital Audit Report for the quarter ended December 31, 2021 duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited

**SANJEEV
BARNWAL**

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**Sanjeev Barnwal
CEO & Company Secretary**

RECONCILIATION OF SHARE CAPITAL AUDIT

Sl. No.	Description	Particulars	
1.	For the Quarter Ended	December 31, 2021	
2.	ISIN Code	INE334L01012	
3.	Face Value	Rs.10/- each	
4.	Name of the Company	UJJIVAN FINANCIAL SERVICES LIMITED	
5.	Registered Office	Grape Garden, No. 27, 3rd A Cross, 18th Main, 6th Block, Koramangala, Bangalore – 560095	
6.	Correspondence Address	Grape Garden, No. 27, 3rd A Cross, 18th Main, 6th Block, Koramangala, Bangalore – 560095	
7.	Telephone & Fax Nos.	Telephone: 91 80 4071 2121 Fax: 91 80 4146 8700	
8.	Email	compliance@ujjivanfin.com	
9.	Name of the Stock Exchanges where the company's securities are listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	
		Number of Shares	% of Total issued Capital
10.	Issued Capital	12,16,78,094	100.00
11.	Listed Capital (Exchange wise) (as per company records)	12,16,78,094	100.00
12.	Held in dematerialized form in CDSL	3,84,66,048	31.61
13.	Held in dematerialized form in NSDL	8,31,57,421	68.34
14.	Physical	54,625	0.04
15.	Total No. of Shares (12+13+14)	12,16,78,094	100.00
16.	Reasons for difference if any, between (10 & 11), (10 & 15), (11 & 15)	Not Applicable	

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars ***	No. of Shares	Applied/Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In principle Approval Pending for SE (Specify Names)
ESOP allotment on December 09, 2021	10,839	Applied and listed	BSE and NSE	Yes	Yes	NA

*** Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify):

18.	Register of Members is updated (Yes/No) If not, updated up to which date	Yes
19.	Reference to previous Quarter with regard to excess Dematerialized Shares, if any	Nil
20.	Has the Company resolved the matter mentioned in Sl. No. 19 above in the current quarter, if not, reasons why?	Not Applicable
21.	Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay.	
Total No. of Demat Requests		No. of Requests
Confirmed after 21 Days		Nil
Pending for more than 21 Days		Nil
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	Mr. Sanjeev Barnwal Company Secretary and Compliance Officer Telephone: 91 80 4071 2121 Fax: 91 80 4146 8700
23.	Name, Address, Tel & Fax No. Registration No. of the Auditor	K. JAYACHANDRAN Company Secretary CP No. 4031 No. 1181, 6 th Main, 'A' Block (Next to Café Coffee Day) Rajajinagar, Bangalore – 560010 Tel: 91 80 2347 8383; Email: jayck_1960@yahoo.com

24.	Appointment of common agency for share registry work, If yes, (Name & Address)	KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited) Karvy Selenium Tower B, Plot Nos. 31 & 32 Financial District, Nanakramguda, Gachibowli, Hyderabad - 500032
25.	Any other detail that the auditor may like to provide (e.g. BIFR company, delisting from SE, company changed its name etc.	NIL

Place: Bengaluru
Dated: January 12, 2022

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JAYACHANDRAN

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K. JAYACHANDRAN
C P NO. 4031
UDIN: A011309C002139864