

1. **Name of Listed Entity: Ujjivan Financial Services Limited**
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
B	Public	95714	121651975	0	0	121651975	100	121651975	0	121651975	100	0	0	0	0	121596247		
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	Total	95714	121651975	0	0	121651975	100	121651975	0	121651975	100	0	0	0	0	121596247		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII) = IV+V+VI	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding %, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
							Class X	Class Y	Total								
							As a % of (A+B+C2)										
1 Indian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a Individuals/Hindu undivided Family	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
b Central Government/ State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Sub-Total (A)(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2 Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
b Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	Nos. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = IV+V+VI	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding %, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
							Class X	Class Y	Total								
							As a % of (A+B+C2)										
1 Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a Mutual Funds/	4	802718	0	0	802718	0.66	802718	0	802718	0.66	0	0	0	0	0	802718	
b Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c Alternate Investment Funds	11	5371719	0	0	5371719	4.42	5371719	0	5371719	4.42	0	0	0	0	0	5371719	
IFL SELECT SERIES II	1	1587014	0	0	1587014	1.3	1587014	0	1587014	1.3	0	0	0	0	0	1587014	
IFL FOCUSED EQUITY STRATEGIES FUND	1	1382823	0	0	1382823	1.14	1382823	0	1382823	1.14	0	0	0	0	0	1382823	
IFL BLENDED FUND-	1	1336195	0	0	1336195	1.1	1336195	0	1336195	1.1	0	0	0	0	0	1336195	

	SERIES A																	
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors	64	29518623	0	0	29518623	24.26	29518623	0	29518623	24.26	0	24.26	0	0			29518623
	ABERDEEN STANDARD ASIA FOCUS PLC	1	2907000	0	0	2907000	2.39	2907000	0	2907000	2.39	0	2.39	0	0			2907000
	GOVERNMENT OF SINGAPORE	1	2097275	0	0	2097275	1.72	2097275	0	2097275	1.72	0	1.72	0	0			2097275
	ABERDEEN STANDARD SICAV I - ASIAN SMALLER COMPANIE	1	1445464	0	0	1445464	1.19	1445464	0	1445464	1.19	0	1.19	0	0			1445464
	DURO ONE INVESTMENTS LIMITED	1	4626394	0	0	4626394	3.8	4626394	0	4626394	3.8	0	3.8	0	0			4626394
	ARDISIA LIMITED	1	12065500	0	0	12065500	9.92	12065500	0	12065500	9.92	0	9.92	0	0			12065500
f	Financial Institutions/ Banks	2	57290	0	0	57290	0.05	57290	0	57290	0.05	0	0.05	0	0			57290
g	Insurance Companies	2	180079	0	0	180079	0.15	180079	0	180079	0.15	0	0.15	0	0			180079
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)	4	21354825	0	0	21354825	17.55	21354825	0	21354825	17.55	0	17.55	0	0			21354825
	Other	4	21354825	0	0	21354825	17.55	21354825	0	21354825	17.55	0	17.55	0	0			21354825
	NEWQUEST ASIA INVESTMENTS II LIMITED	1	6286535	0	0	6286535	5.17	6286535	0	6286535	5.17	0	5.17	0	0			6286535
	ALENA PRIVATE LIMITED	1	8453659	0	0	8453659	6.95	8453659	0	8453659	6.95	0	6.95	0	0			8453659
	INTERNATIONAL FINANCE CORPORATION	1	5356263	0	0	5356263	4.4	5356263	0	5356263	4.4	0	4.4	0	0			5356263
	ELEVAR EQUITY MAURITIUS	1	1258368	0	0	1258368	1.03	1258368	0	1258368	1.03	0	1.03	0	0			1258368
	Sub-Total (B)(1)	87	57285254	0	0	57285254	47.09	57285254	0	57285254	47.09	0	47.09	0	0			57285254
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -	93517	48933167	0	0	48933167	40.23	48933167	0	48933167	40.23	0	40.23	0	0			48877439
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	93316	36893848	0	0	36893848	30.33	36893848	0	36893848	30.33	0	30.33	0	0			36888120
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	201	12039319	0	0	12039319	9.9	12039319	0	12039319	9.9	0	9.9	0	0			11989319
b	NBFCs registered with RBI	1	1000	0	0	1000	0	1000	0	1000	0	0	0	0	0			1000
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)	2109	15432554	0	0	15432554	12.69	15432554	0	15432554	12.69	0	12.69	0	0			15432554
	Bodies Corporate	666	11401043	0	0	11401043	9.37	11401043	0	11401043	9.37	0	9.37	0	0			11401043
	BAJAJ HOLDINGS AND INVESTMENT LTD	1	1303573	0	0	1303573	1.07	1303573	0	1303573	1.07	0	1.07	0	0			1303573
	DESAI BROTHERS LIMITED	1	1633741	0	0	1633741	1.34	1633741	0	1633741	1.34	0	1.34	0	0			1633741
	BIZ SECURE LABS PRIVATE LIMITED	1	1458379	0	0	1458379	1.2	1458379	0	1458379	1.2	0	1.2	0	0			1458379
	Clearing Members	114	254171	0	0	254171	0.21	254171	0	254171	0.21	0	0.21	0	0			254171
	Director or Director's Relatives	3	77076	0	0	77076	0.06	77076	0	77076	0.06	0	0.06	0	0			77076
	Foreign Nationals	1	1000	0	0	1000	0	1000	0	1000	0	0	0	0	0			1000
	Non-Resident Indian (NRI)	1314	3464616	0	0	3464616	2.85	3464616	0	3464616	2.85	0	2.85	0	0			3464616
	Others	3	223530	0	0	223530	0.18	223530	0	223530	0.18	0	0.18	0	0			223530
	Trusts	8	11118	0	0	11118	0.01	11118	0	11118	0.01	0	0.01	0	0			11118
	Sub-Total (B)(3)	95627	64366721	0	0	64366721	52.91	64366721	0	64366721	52.91	0	52.91	0	0			64310993
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	95714	121651975	0	0	121651975	100	121651975	0	121651975	100	0	100	0	0			121596247

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	No. of share (II)	No. of fully paid up equity shares held (III)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV+V+VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % of full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
							Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
			Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %