

1. Name of Listed Entity: Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2021
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether the Listed Entity has issued any differential Voting Rights?	No
7	Whether the Listed Entity has issued any Warrants ?	No
8	Whether the listed entity has any significant beneficial owner?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total (calculated per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
								As a % of (A+B+C2)										
A	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
B	Public	119685	121667255	0	0	121667255	100	121667255	0	121667255	100	0	0	0	0	121612630		
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	Total	119685	121667255	0	0	121667255	100	121667255	0	121667255	100	0	0	0	0	121612630		

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Share holding % calculated as per SCR R, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
l	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/	4	591026	0	0	591026	0.49	591026	0	591026	0.49	0	0	0	0	0	591026	
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Alternate Investment Funds	5	768657	0	0	768657	0.63	768657	0	768657	0.63	0	0	0	0	0	768657	
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Foreign Portfolio Investors	55	31838922	0	0	31838922	26.17	31838922	0	31838922	26.17	0	0	0	0	0	31838922	
	LGT SELECT FUNDS - LGT SELECT EQUITY EMERGING MARK	1	1822828	0	0	1822828	1.5	1822828	0	1822828	1.5	0	0	0	0	0	1822828	
	TT HORIZON OPPORTUNITIES FUND LIMITED	1	1335640	0	0	1335640	1.1	1335640	0	1335640	1.1	0	0	0	0	0	1335640	
	TT EMERGING MARKETS UNCONSTRAINED FUND	1	3933286	0	0	3933286	3.23	3933286	0	3933286	3.23	0	0	0	0	0	3933286	
	DURO ONE INVESTMENTS LIMITED	1	5059795	0	0	5059795	4.16	5059795	0	5059795	4.16	0	0	0	0	0	5059795	
	ARDISIA LIMITED	1	12065500	0	0	12065500	9.92	12065500	0	12065500	9.92	0	0	0	0	0	12065500	
	AL MEHWAR COMMERCIAL INVESTMENTS L.L.C. - (TREEFIS	1	2064919	0	0	2064919	1.7	2064919	0	2064919	1.7	0	0	0	0	0	2064919	
f	Financial Institutions/ Banks	1	21	0	0	21	0	21	0	21	0	0	0	0	0	0	21	
g	Insurance Companies	1	180000	0	0	180000	0.15	180000	0	180000	0.15	0	0	0	0	0	180000	
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
i	Any Other (specify)	4	15177768	0	0	15177768	12.47	15177768	0	15177768	12.47	0	0	0	0	0	15177768	
	Other	4	15177768	0	0	15177768	12.47	15177768	0	15177768	12.47	0	0	0	0	0	15177768	
	NEWQUEST ASIA INVESTMENTS II LIMITED	1	6286535	0	0	6286535	5.17	6286535	0	6286535	5.17	0	0	0	0	0	6286535	
	ALENA PRIVATE LIMITED	1	2276602	0	0	2276602	1.87	2276602	0	2276602	1.87	0	0	0	0	0	2276602	
	INTERNATIONAL FINANCE CORPORATION	1	5356263	0	0	5356263	4.4	5356263	0	5356263	4.4	0	0	0	0	0	5356263	
	ELEVAR EQUITY MAURITIUS	1	1258368	0	0	1258368	1.03	1258368	0	1258368	1.03	0	0	0	0	0	1258368	

	Sub-Total (B)(1)	70	48556394	0	0	48556394	39.91	48556394	0	48556394	39.91	0	39.91	0	0		48556394
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals -	117276	56861315	0	0	56861315	46.74	56861315	0	56861315	46.74	0	46.74	0	0		56806690
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	117054	42668517	0	0	42668517	35.07	42668517	0	42668517	35.07	0	35.07	0	0		42663892
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	222	14192798	0	0	14192798	11.67	14192798	0	14192798	11.67	0	11.67	0	0		14142798
b	NBFCs registered with RBI	2	4600	0	0	4600	0	4600	0	4600	0	0	0	0	0		4600
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)	2337	16244946	0	0	16244946	13.35	16244946	0	16244946	13.35	0	13.35	0	0		16244946
	Bodies Corporate	688	11670555	0	0	11670555	9.59	11670555	0	11670555	9.59	0	9.59	0	0		11670555
	DESAI BROTHERS LIMITED	1	1633741	0	0	1633741	1.34	1633741	0	1633741	1.34	0	1.34	0	0		1633741
	BIZ SECURE LABS PRIVATE LIMITED	1	1461379	0	0	1461379	1.2	1461379	0	1461379	1.2	0	1.2	0	0		1461379
	Clearing Members	112	372285	0	0	372285	0.31	372285	0	372285	0.31	0	0.31	0	0		372285
	Director or Director's Relatives	2	37076	0	0	37076	0.03	37076	0	37076	0.03	0	0.03	0	0		37076
	Foreign Nationals	1	1500	0	0	1500	0	1500	0	1500	0	0	0	0	0		1500
	Non-Resident Indian (NRI)	1525	3844981	0	0	3844981	3.16	3844981	0	3844981	3.16	0	3.16	0	0		3844981
	Others	3	314203	0	0	314203	0.26	314203	0	314203	0.26	0	0.26	0	0		314203
	Trusts	6	4346	0	0	4346	0	4346	0	4346	0	0	0	0	0		4346
	Sub-Total (B)(3)	119615	73110861	0	0	73110861	60.09	73110861	0	73110861	60.09	0	60.09	0	0		73056236
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	119685	121667255	0	0	121667255	100	121667255	0	121667255	100	0	100	0	0		121612630

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareh older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (X)	Total shareholdin g, as a % assuming full conversion of convertible securities (including Warrants) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV) (Not Applicable)			
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)				
																		No of Voting Rights		
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %