

1. Name of Listed Entity: **UJJIVAN FINANCIAL SERVICES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : **30-Sep-2016**
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underly (X)	Shareholding, as a % assuming full conversion of convertible securities (including Warrants) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							As a % of (A+B+C2)	Class eg: X	Class eg:y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
B	Public	52372	118485500	0	0	118485500	100	118485500	0	118485500	100	0	100	70867208	59.81			113031860
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	52372	118485500	0	0	118485500	100	118485500	0	118485500	100	0	100	70867208	59.81			113031860

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		38	7654602	0	0	7654602	6.46	7654602	0	7654602	6.46	6.46	2361400	30.85			7654602	
	*SUNDARAM MUTUAL FUND A/C	AAATS2554B	0	2866979	0	0	2866979	2.42	2866979	0	2866979	2.42	2.42	2361400	82.37			2866979	
	TATA BALANCED FUND	AAATT0570A	0	1216730	0	0	1216730	1.03	1216730	0	1216730	1.03	1.03	0	0			1216730	
	UTI - TOP 100 FUND	AAATU1088L	0	2233136	0	0	2233136	1.88	2233136	0	2233136	1.88	1.88	0	0			2233136	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
c	Alternate Investment Funds		4	326785	0	0	326785	28	326785	0	326785	28	28	270585	82.8			326785	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
e	Foreign Portfolio Investors		23	9126867	0	0	9126867	7.7	9126867	0	9126867	7.7	7.7	0	0			9126867	
	CINNAMON CAPITAL LIMITED	AAAC09830F	0	3076820	0	0	3076820	2.6	3076820	0	3076820	2.6	2.6	0	0			3076820	
	KEMNAY INVESTMENT FUND LTD	AAECK2376C	0	1546025	0	0	1546025	1.3	1546025	0	1546025	1.3	1.3	0	0			1546025	
f	Financial Institutions/ Banks		3	82175	0	0	82175	0.7	82175	0	82175	0.7	0.7	0	0			82175	
g	Insurance Companies		11	11552791	0	0	11552791	9.75	11552791	0	11552791	9.75	9.75	7967413	68.97			11552791	
	*HDFC STANDARD LIFE INSURANCE COMPANY	AAACH8755L	0	3526048	0	0	3526048	2.98	3526048	0	3526048	2.98	2.98	3464878	98.27			3526048	
	BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD.	AADCA1701E	0	1769804	0	0	1769804	1.49	1769804	0	1769804	1.49	1.49	1769804	100			1769804	
	BIRLA SUN LIFE INSURANCE COMPANY LIMITED	AABC84623J	0	2223947	0	0	2223947	1.88	2223947	0	2223947	1.88	1.88	0	0			2223947	
	MAX LIFE INSURANCE COMPANY LIMITED	AACCM3201E	0	1280661	0	0	1280661	1.08	1280661	0	1280661	1.08	1.08	0	0			1280661	
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	AAJCS1033P	0	2001024	0	0	2001024	1.69	2001024	0	2001024	1.69	1.69	2001024	100			2001024	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
i	Any Other (specify)		12	49617789	0	0	49617789	41.88	49617789	0	49617789	41.88	41.88	45371503	91.44			45840172	
	ELEVAR EQUITY MAURITIUS		1	2860058	0	0	2860058	2.41	2860058	0	2860058	2.41	2.41	2860058	100			2860058	
	ALENA PRIVATE LIMITED		1	10790943	0	0	10790943	9.11	10790943	0	10790943	9.11	9.11	10790943	100			7013326	

	CDC GROUP PLC		1	10932696	0	0	10932696	9.23	10932696	0	10932696	9.23	0	9.23	10932696	100			10932696
	INDIA FINANCIAL INCLUSION FUND		1	1754386	0	0	1754386	1.48	1754386	0	1754386	1.48	0	1.48	1754386	100			1754386
	CX PARTNERS FUND I LIMITED		1	2604342	0	0	2604342	2.2	2604342	0	2604342	2.2	0	2.2	2604342	100			2604342
	NEWQUEST ASIA INVESTMENTS II LIMITED		1	8199522	0	0	8199522	6.92	8199522	0	8199522	6.92	0	6.92	8199522	100			8199522
	SEQUOIA CAPITAL INDIA INVESTMENTS III		1	4201276	0	0	4201276	3.55	4201276	0	4201276	3.55	0	3.55	4201276	100			4201276
	INTERNATIONAL FINANCE CORPORATION		1	7141684	0	0	7141684	6.03	7141684	0	7141684	6.03	0	6.03	7141684	100			7141684
	Sub-Total (B)(1)		91	78361009	0	0	78361009	66.14	78361009	0	78361009	66.14	0	66.14	55970901	71.43			74583392
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -		50507	20384599	0	0	20384599	17.21	20384599	0	20384599	17.21	0	17.21	1972673	9.68	0	0	19408676
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		50373	13431572	0	0	13431572	11.34	13431572	0	13431572	11.34	0	11.34	229706	1.71			13350649
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		134	6953027	0	0	6953027	5.87	6953027	0	6953027	5.87	0	5.87	1742967	25.07			6058027
b	NBFCs registered with RBI		3	11250	0	0	11250	0	11250	0	11250	0	0	0	0	0			11250
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		1771	19728642	0	0	19728642	16.65	19728642	0	19728642	16.65	0	16.65	12923634	65.51			19028542
	Director or Director's Relatives		2	1004100	0	0	1004100	.85	1004100	0	1004100	.85	0	.85	869340	86.58			1004100
	Bodies Corporate		970	15603798	0	0	15603798	13.17	15603798	0	15603798	13.17	0	13.17	10430994	66.85			15603798
	Sub-Total (B)(3)		52281	40124491	0	0	40124491	33.86	40124491	0	40124491	33.86	0	33.86	14896307	37.13			38448468
	BAJAJ HOLDINGS AND INVESTMENT LTD	AAACB3 370K	0	5124702	0	0	5124702	4.33	5124702	0	5124702	4.33	0	4.33	5124702	100			5124702
	NEW GROWTH COMTRADE PRIVATE LIMITED	AAECN3 690G	0	1951220	0	0	1951220	1.65	1951220	0	1951220	1.65	0	1.65	1951220	100			1951220
	Foreign Individuals or NRI		402	2273967	0	0	2273967	1.92	2273967	0	2273967	1.92	0	1.92	1623300	71.39			1573867
	Trusts		1	3300	0	0	3300	0	3300	0	3300	0	0	0	0	0			3300
	Clearing Members		396	843477	0	0	843477	.71	843477	0	843477	.71	0	.71	0	0			843477
	Bodies Corporate		1	1650000	0	0	1650000	1.39	1650000	0	1650000	1.39	0	1.39	1650000	100			1650000
	DESAI BROTHERS LIMITED	AAACD7 361C	0	1650000			1650000	1.39	1650000		1650000	1.39		1.39	1650000	100			1650000
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		52372	118485500	0	0	118485500	100	118485500	0	118485500	100	0	100	70867208	59.81			113031860

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %