

- Name of Listed Entity: UJJIVAN FINANCIAL SERVICES LIMITED
- Scrp Code/Name of Scrip/Class of Security: UJJIVAN
- Share Holding Pattern Filed under: 31b
- Share Holding Pattern as on : 31-Dec-2016
- Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class eg: X	Classes eg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
B	Public	64980	118844130	0	0	118844130	100	118844130	0	118844130	100	0	100	75606682	63.62			113623683
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	64980	118844130	0	0	118844130	100	118844130	0	118844130	100	0	100	75606682	63.62			113623683

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholdin g , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)										
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total share s held (b)									
								Class X	Class Y	Total																	
								1	Indian	0			0	0	0	0		0	0	0	0	0	0	0	0	0	0
								a	Individuals/Hindu undivided Family	0			0	0	0	0		0	0	0	0	0	0	0	0	0	0
								b	Central Government/ State Government(s)	0			0	0	0	0		0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
d	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
	Sub-Total (A)(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
2	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
a	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
c	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0											

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	Nos. of share holder (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sharehold ing % calculate d as per SCRR, 1957 (VIII) As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g , as a % assuming full conversion of convertible securities (as a percentage)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateriali z ed form (XIV)
							No of Voting Rights				No. (a)	As a % of	No. (No t ap	As a % of total	

								Class X	Class Y	Tot al	% of Tot al Voti ng		of diluted share capital) (XI)		tota l Sha res held	pli cable (a)	share s held (Not applic able) (b)	
l	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/	28	6245774	0	0	6245774	5.26	6245774	0	6245774	5.26	0	5.26	3011400	48.22			6245774
	SUNDARAM MUTUAL FUND A/C	1	3497579	0	0	3497579	2.94	3497579	0	3497579	2.94	0	2.94	2361400	67.52			3497579
	UTI - TOP 100 FUND	1	1831526	0	0	1831526	1.54	1831526	0	1831526	1.54	0	1.54	0	0			1831526
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds	3	519664	0	0	519664	44	519664	0	519664	44	0	44	270585	52.07			519664
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors	26	8814578	0	0	8814578	7.42	8814578	0	8814578	7.42	0	7.42	0	0			8814578
	CINNAMON CAPITAL LIMITED	1	3076820	0	0	3076820	2.59	3076820	0	3076820	2.59	0	2.59	0	0			3076820
	KEMNAY INVESTMENT FUND LTD	1	1546025	0	0	1546025	1.3	1546025	0	1546025	1.3	0	1.3	0	0			1546025
f	Financial Institutions/ Banks	5	242037	0	0	242037	2	242037	0	242037	2	0	2	0	0			242037
g	Insurance Companies	8	10015006	0	0	10015006	8.43	10015006	0	10015006	8.43	0	8.43	8067413	80.55			10015006
	"HDFC STANDARD LIFE INSURANCE COMPANY	1	3476363	0	0	3476363	2.93	3476363	0	3476363	2.93	0	2.93	3464878	99.67			3476363
	BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD.	1	1825910	0	0	1825910	1.54	1825910	0	1825910	1.54	0	1.54	1825910	100			1825910
	BIRLA SUN LIFE INSURANCE COMPANY LIMITED	1	1711686	0	0	1711686	1.44	1711686	0	1711686	1.44	0	1.44	0	0			1711686
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	1	2001024	0	0	2001024	1.68	2001024	0	2001024	1.68	0	1.68	2001024	100			2001024
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)	12	47398676	0	0	47398676	39.88	47398676	0	47398676	39.88	0	39.88	47327620	99.85			43621059
	Other	7	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	ELEVAR EQUITY MAURITIUS	1	2860058	0	0	2860058	2.41	2860058	0	2860058	2.41	0	2.41	2860058	100			2860058
	ALENA PRIVATE LIMITED	1	10790943	0	0	10790943	9.08	10790943	0	10790943	9.08	0	9.08	10790943	100			7013326
	CDC GROUP PLC	1	10932696	0	0	10932696	9.2	10932696	0	10932696	9.2	0	9.2	10932696	100			10932696
	CX PARTNERS FUND I LIMITED	1	2604342	0	0	2604342	2.19	2604342	0	2604342	2.19	0	2.19	2604342	100			2604342
	NEWQUEST ASIA INVESTMENTS II LIMITED	1	7028022	0	0	7028022	5.91	7028022	0	7028022	5.91	0	5.91	7028022	100			7028022
	SEQUOIA CAPITAL INDIA INVESTMENTS III	1	4201276	0	0	4201276	3.54	4201276	0	4201276	3.54	0	3.54	4201276	100			4201276
	INTERNATIONAL FINANCE CORPORATION	1	7141684	0	0	7141684	6.01	7141684	0	7141684	6.01	0	6.01	7141684	100			7141684
	Sub-Total (B)(1)	82	73235735	0	0	73235735	61.63	73235735	0	73235735	61.63	0	61.63	58677018	80.12			69458118
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals -	63189	25069657	0	0	25069657	21.1	25069657	0	25069657	21.1	0	21.1	3237230	12.91	0	0	24326927
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	63041	16811182	0	0	16811182	14.15	16811182	0	16811182	14.15	0	14.15	285563	1.7			16765452
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	148	8258475	0	0	8258475	6.95	8258475	0	8258475	6.95	0	6.95	2951667	35.74			7561475
b	NBFCs registered with RBI	7	26360	0	0	26360	.02	26360	0	26360	.02	0	.02	0	0			26360
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)	1702	20512378	0	0	20512378	17.26	20512378	0	20512378	17.26	0	17.26	13692434	66.75			19812278
	Body Corporate	3	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	BAJAJ HOLDINGS AND INVESTMENT LTD	1	5124702	0	0	5124702	4.31	5124702	0	5124702	4.31	0	4.31	5124702	100			5124702
	NEW GROWTH COMTRADE PRIVATE LIMITED	1	1780000	0	0	1780000	1.5	1780000	0	1780000	1.5	0	1.5	1780000	100			1780000
	DESAI BROTHERS LIMITED	1	1780000	0	0	1780000	1.5	1780000	0	1780000	1.5	0	1.5	1780000	100			1780000
	Sub-Total (B)(3)	64898	45608395	0	0	45608395	38.38	45608395	0	45608395	38.38	0	38.38	16929664	37.12			44165565
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	64980	118844130	0	0	118844130	100	118844130	0	118844130	100	0	100	75606682	63.62			113623683

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No.		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		

Table II- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaimed Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %