

1. Name of Listed Entity:Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether the Listed Entity has issued any differential Voting Rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+ C)	No (a)	As a % of total Shares held (b)	No. (a)		As a % of total Share s held (b)
								Class eg: X	Class eg:y	Total								
A	Promoter & Promoter Group	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0		
B	Public	87501	119743499	0	0	119743499	100.00	119743499	0	119743499	100.00	0	0.00			119406778		
C	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00		
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		0		
	Total	87501	119743499	0	0	119743499	100.00	119743499	0	119743499	100.00	0	0.00			119406778		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total share s held (b)
							Class X	Class Y	Tot al								
1 Indian	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
a Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
b Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
c Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
d Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
Sub-Total (A)(1)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
2 Foreign	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
a Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
b Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
c Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
d Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
e Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	Nos. of shareholder (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held $\underline{\text{VII}} = \text{IV} + \text{V} + \text{VI}$	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (No t applicable) (a)		As a % of total share s held (Not applicable) (b)
							Class X	Class Y	Tot al								
1 Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
a Mutual Funds/	42	8905005	0	0	8905005	7.44	8905005	0	8905005	7.44	0	0.00			8905005		
SUNDARAM MUTUAL FUND A/C SUNDARAM SELECT MIDCAP	0	2887630	0	0	2887630	2.41	2887630	0	2887630	2.41	0	0.00			2887630		
b Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
c Alternate Investment Funds	8	2823818	0	0	2823818	2.36	2823818	0	2823818	2.36	0	0.00			2823818		

	IIFL FOCUSED EQUITY STRATEGIES FUND	0	1812828	0	0	0	1812828	1.51	1812828	0	1812828	1.51	0	1.51	0	0.00	1812828
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
e	Foreign Portfolio Investors	15	13518132	0	0	0	13518132	11.29	13518132	0	13518132	11.29	0	11.29	0	0.00	13518132
	CINNAMON CAPITAL LIMITED	0	11726820	0	0	0	11726820	9.79	11726820	0	11726820	9.79	0	9.79	0	0.00	11726820
f	Financial Institutions/ Banks	4	245918	0	0	0	245918	0.21	245918	0	245918	0.21	0	0.21	0	0.00	245918
g	Insurance Companies	7	5399837	0	0	0	5399837	4.51	5399837	0	5399837	4.51	0	4.51	0	0.00	5399837
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	0	2951524	0	0	0	2951524	2.46	2951524	0	2951524	2.46	0	2.46	0	0.00	2951524
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
i	Any Other (specify)	9	38015291	0	0	0	38015291	31.75	38015291	0	38015291	31.75	0	31.75	0	0.00	38015291
	Other	9	38015291	0	0	0	38015291	31.75	38015291	0	38015291	31.75	0	31.75	0	0.00	38015291
	ELEVAR EQUITY MAURITIUS	0	2860058	0	0	0	2860058	2.39	2860058	0	2860058	2.39	0	2.39	0	0.00	2860058
	ALENA PRIVATE LIMITED	0	10790943	0	0	0	10790943	9.01	10790943	0	10790943	9.01	0	9.01	0	0.00	10790943
	CDC GROUP PLC	0	2282646	0	0	0	2282646	1.91	2282646	0	2282646	1.91	0	1.91	0	0.00	2282646
	CX PARTNERS FUND I LIMITED	0	2604342	0	0	0	2604342	2.17	2604342	0	2604342	2.17	0	2.17	0	0.00	2604342
	NEWQUEST ASIA INVESTMENTS II LIMITED	0	7028022	0	0	0	7028022	5.87	7028022	0	7028022	5.87	0	5.87	0	0.00	7028022
	SEQUOIA CAPITAL INDIA INVESTMENTS III	0	4201276	0	0	0	4201276	3.51	4201276	0	4201276	3.51	0	3.51	0	0.00	4201276
	INTERNATIONAL FINANCE CORPORATION	0	7141684	0	0	0	7141684	5.96	7141684	0	7141684	5.96	0	5.96	0	0.00	7141684
	Sub-Total (B)(1)	85	68908001	0	0	0	68908001	57.56	68908001	0	68908001	57.56	0	57.56	0	0.00	68908001
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Sub-Total (B)(2)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
3	Non-institutions	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
a	Individuals -	85606	33376613	0	0	0	33376613	27.88	33376613	0	33376613	27.88	0	27.88	0	0.00	33039892
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	85448	23190630	0	0	0	23190630	19.37	23190630	0	23190630	19.37	0	19.37	0	0.00	23163909
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	158	10185983	0	0	0	10185983	8.51	10185983	0	10185983	8.51	0	8.51	0	0.00	9875983
b	NBFCs registered with RBI	11	75994	0	0	0	75994	0.06	75994	0	75994	0.06	0	0.06	0	0.00	75994
c	Employee Trusts	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
e	Any Other (specify)	1799	17382891	0	0	0	17382891	14.52	17382891	0	17382891	14.52	0	14.52	0	0.00	17382891
	Body Corporate	1281	14173514	0	0	0	14173514	11.84	14173514	0	14173514	11.84	0	11.84	0	0.00	14173514
	BAJAJ HOLDINGS AND INVESTMENT LTD	0	3125407	0	0	0	3125407	2.61	3125407	0	3125407	2.61	0	2.61	0	0.00	3125407
	DESAI BROTHERS LIMITED	0	1802000	0	0	0	1802000	1.50	1802000	0	1802000	1.50	0	1.50	0	0.00	1802000
	Non-Resident Indian (NRI)	314	1902555	0	0	0	1902555	1.59	1902555	0	1902555	1.59	0	1.59	0	0.00	1902555
	Trust	10	474801	0	0	0	474801	0.40	474801	0	474801	0.40	0	0.40	0	0.00	474801
	Clearing member	192	802621	0	0	0	802621	0.67	802621	0	802621	0.67	0	0.67	0	0.00	802621
	Other	2	29400	0	0	0	29400	0.02	29400	0	29400	0.02	0	0.02	0	0.00	29400
	Sub-Total (B)(3)	87416	50835498	0	0	0	50835498	42.46	50835498	0	50835498	42.46	0	42.46	0	0.00	50498777
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	87501	119743499	0	0	0	119743499	100.02	119743499	0	119743499	100.02	0	100.02	0	0.00	119406778

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
							No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total share s held (Not applicable)	
							Class X	Class Y	Total								
1 Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %