

UJJIVAN/SE/2016-17/55

January 31, 2017

**National Stock Exchange of India Limited**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East),  
Mumbai 400 051  
Kind Attention: Manager, Listing Department

**BSE Limited**  
P.J. Tower,  
Dalal Street  
Mumbai 400 001  
Kind Attention: Manager, Listing Compliance

**Trading Symbol: UJJIVAN**

**SCRIP CODE: 539874**

Dear Sir/Madam,

**Subject: RBI approval for the appointment of MD & CEO and Part-Time Chairman in 'Ujjivan Small Finance Bank Limited'**

We wish to inform you that the Reserve Bank of India ("RBI") has vide its letter dated January 30, 2017 has approved the appointment of Mr. Samit Ghosh as the MD & CEO of Ujjivan Small Finance Bank Limited for a period of three years with effect from his taking charge which is on February 01, 2017. RBI has instructed that Mr. Samit Ghosh has to disinvest his shareholding in the Company and be brought down under the cap of substantial interest as defined under Section 5(ne) of the Banking Regulation Act, 1949 within a period of one year from the date of the RBI approval letter.

Further, RBI has approved Mr. Sunil Vinayak Patel as the Part-Time Chairman of 'Ujjivan Small Finance Bank Limited'.

The RBI approved Board of 'Ujjivan Small Finance Bank Limited' comprises of the following members:

Sr.	Name	Category
1.	Mr. Sunil Vinayak Patel	Independent Director – Part Time Chairman
2.	Mr. Samit Ghosh	MD & CEO
3.	Mr. Nandlal Sarda	Independent Director
4.	Ms. Vandana Viswanathan	Non-Executive Director
5.	Mr. Biswamohan Mahapatra	Independent Director
6.	Mr. Prabal Sen	Independent Director
7.	Mr. Luis Miranda	Independent Director
8.	Mr. Anadi Charan Sahu (appointment effective from February 01, 2017)	Non-Executive Director



**Ujjivan Financial Services Limited**

**Registered Office:** Grape Garden, No. 27, 3<sup>rd</sup> 'A' Cross, 18th Main, 6th Block, Koramangala, Bengaluru 560 095  
Tel +91 80 4071 2121, Fax +91 80 4146 8700, CIN: L65999KA2004PLC035329, E-mail ID: [ujjivan.blr@ujjivan.com](mailto:ujjivan.blr@ujjivan.com)

[www.ujjivan.com](http://www.ujjivan.com)

This disclosure is being made in compliance with Regulation 30 read with Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited

  
**Sanjeev Barnwal**  
Company Secretary and Compliance Officer



Note: Definition of Substantial Interest as per Section 5(ne) of the Banking Regulation Act, 1949  
*in relation to a company, means the holding of a beneficial interest by an individual or his spouse or minor child, whether singly or taken together, in the shares thereof, the amount paid up on which exceeds five lakhs of rupees or ten percent of the paid-up capital of the company, whichever is less.*



**Ujjivan Financial Services Limited**

**Registered Office:** Grape Garden, No. 27, 3<sup>rd</sup> 'A' Cross, 18th Main, 6th Block, Koramangala, Bengaluru 560 095  
Tel +91 80 4071 2121, Fax +91 80 4146 8700, CIN: L65999KA2004PLC035329, E-mail ID: [ujjivan.blr@ujjivan.com](mailto:ujjivan.blr@ujjivan.com)

[www.ujjivan.com](http://www.ujjivan.com)