

UJJIVAN/SE/2017-18/42

January 30, 2018

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Disposal of Shares by Mr. Samit Ghosh in compliance with RBI directive

We hereby invite the attention to our disclosures made through our letters reference number UJJIVAN/SE/2016-17/55 dated January 31, 2017 and UJJIVAN/SE/2017-18/32 dated October 24, 2017 wherein we have intimated that RBI while granting its approval for the appointment of Mr. Samit Ghosh as the MD & CEO of 'Ujjivan Small Finance Bank Limited' (wholly owned subsidiary of the Company), has advised that Mr. Samit Ghosh has to disinvest his shareholding in the Company to bring it down under the cap of substantial interest as defined under Section 5(ne) of the Banking Regulation Act, 1949 within a period of one year from the RBI approval dated January 30, 2017.

Definition of Substantial Interest as per Section 5(ne) of the Banking Regulation Act, 1949
in relation to a company, means the holding of a beneficial interest by an individual or his spouse or minor child, whether singly or taken together, in the shares thereof, the amount paid up on which exceeds five lakhs of rupees or ten percent of the paid-up capital of the company, whichever is less.

In timely compliance to the above RBI requirement, Mr. Samit Ghosh has recently sold / transferred his shares in the Company and is currently holding only 50,000 shares (0.04%) of the Company i.e. to the extent permitted under the Banking Regulation Act, 1949.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited


Sanjeev Barnwal
Company Secretary and Compliance Officer

